Introduction

Bariatrics is the branch of medicine dealing with the causes and treatment of obesity. Clinically severe obesity (also known as morbid obesity) is when a person is excessively overweight. Obesity itself is a health hazard as it impacts the heart, lungs, muscles, and bones of the body. In addition, obesity is a known risk factor to develop type 2 diabetes, heart disease and high blood pressure. Many individuals are able to lose weight by changing their diet and increasing their exercise. The challenge for most people is keeping off the weight they have lost. For some people surgery may be needed. Bariatric surgery is often referred to as weight loss surgery or obesity surgery. Surgical approaches to support long-term weight loss have been developed over the past 20 years. For some individuals the surgery works very well, although even after surgery people may need to significantly change their eating habits. Surgery is not without risk, however. There are several different types of weight loss surgery that are done on the stomach, intestine or both. They generally fall into two main categories: surgeries that restrict the amount of food that may be eaten, and surgeries that restrict the body’s ability to absorb calories and nutrients. Not all plans cover obesity surgery. When plans have a benefit for obesity surgery, then this policy describes what information is needed by the health plan to determine if the surgery may be covered.
**Note:** The Introduction section is for your general knowledge and is not to be taken as policy coverage criteria. The rest of the policy uses specific words and concepts familiar to medical professionals. It is intended for providers. A provider can be a person, such as a doctor, nurse, psychologist, or dentist. A provider also can be a place where medical care is given, like a hospital, clinic, or lab. This policy informs them about when a service may be covered.

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### Policy Coverage Criteria

**Note:** Bariatric (weight loss) surgery should be performed by a surgeon with specialized training and experience in the bariatric surgery procedure used, and in an institution (facility or hospital) that includes a comprehensive bariatric surgery program. Any device used for bariatric surgery must be FDA approved for that purpose and used according to the labeled indications.

<table>
<thead>
<tr>
<th>Indication</th>
<th>Coverage Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Contract limitations</strong></td>
<td>Some health plan contracts do not have benefits to cover surgical treatment of morbid obesity, complications, or after effects associated with weight loss surgery. Refer to member contract language for benefit determination on weight loss surgery.</td>
</tr>
<tr>
<td><strong>Covered bariatric (weight loss) surgeries</strong></td>
<td>The following bariatric (weight loss) surgery procedures may be considered medically necessary for the treatment of class III obesity*, class II obesity with one obesity related co-morbid condition, or T2** diabetes with class I obesity in adults who have failed weight loss by conservative measures:</td>
</tr>
<tr>
<td></td>
<td>• Biliopancreatic bypass (i.e., the Scopinaro procedure) with duodenal switch (open [43845] or laparoscopic [43659])</td>
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<tr>
<td></td>
<td>• Gastric bypass using a Roux-en-Y anastomosis (open or laparoscopic) (43644, 43645, 43846***)</td>
</tr>
<tr>
<td></td>
<td>• Laparoscopic adjustable gastric banding (e.g., LAP-BAND, REALIZE) (43770)</td>
</tr>
<tr>
<td></td>
<td>• Sleeve gastrectomy (43775)</td>
</tr>
<tr>
<td><strong>Indication</strong></td>
<td><strong>Coverage Criteria</strong></td>
</tr>
<tr>
<td>----------------</td>
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</tr>
<tr>
<td><em>Note:</em> Class III obesity is defined by the CDC as a BMI of 40 kg/m² or greater (see Related Information)</td>
<td><strong>Note:</strong> T2DM-Type II diabetes mellitus (see Related Information)</td>
</tr>
<tr>
<td><strong>Note:</strong> CPT 43846 is for short limb (150 cm or less) Roux-en-Y gastroenterostomy. See Investigational Coverage Criteria for long-limb gastric bypass procedure (i.e., &gt;150 cm)</td>
<td></td>
</tr>
<tr>
<td>Individual selection criteria for adults with class II or III obesity (Must meet all 3 criteria)</td>
<td>Bariatric (weight loss) surgery may be considered medically necessary for the treatment of class II or III obesity in adults who have failed weight loss by conservative measures when ALL of the following criteria are met:</td>
</tr>
<tr>
<td>• Class III obesity (a body mass index (BMI) greater than 40) kg/m²</td>
<td>• Class III obesity (a body mass index (BMI) greater than 40) kg/m²</td>
</tr>
<tr>
<td>OR</td>
<td>OR</td>
</tr>
<tr>
<td>• Class II obesity (a BMI of 35 kg/m² or more) with at least ONE of the following obesity related comorbid conditions:</td>
<td>• Class II obesity (a BMI of 35 kg/m² or more) with at least ONE of the following obesity related comorbid conditions:</td>
</tr>
<tr>
<td>o Established Coronary Heart Disease, such as:</td>
<td>o Established Coronary Heart Disease, such as:</td>
</tr>
<tr>
<td>▪ History of angina pectoris (stable or unstable)</td>
<td>▪ History of angina pectoris (stable or unstable)</td>
</tr>
<tr>
<td>▪ History of angioplasty</td>
<td>▪ History of angioplasty</td>
</tr>
<tr>
<td>▪ History of coronary artery surgery</td>
<td>▪ History of coronary artery surgery</td>
</tr>
<tr>
<td>▪ History of myocardial infarction</td>
<td>▪ History of myocardial infarction</td>
</tr>
<tr>
<td>o Other Atherosclerotic Disease, such as:</td>
<td>o Other Atherosclerotic Disease, such as:</td>
</tr>
<tr>
<td>▪ Abdominal aortic aneurysm</td>
<td>▪ Abdominal aortic aneurysm</td>
</tr>
<tr>
<td>▪ Hypertension that is uncontrolled or resistant to treatment (medically refractory) with a blood pressure (BP) greater than 140/90 despite optimal medical management (attempted medical management must have included at least 2 medications of different classes).</td>
<td>▪ Hypertension that is uncontrolled or resistant to treatment (medically refractory) with a blood pressure (BP) greater than 140/90 despite optimal medical management (attempted medical management must have included at least 2 medications of different classes).</td>
</tr>
<tr>
<td>▪ Peripheral arterial disease</td>
<td>▪ Peripheral arterial disease</td>
</tr>
<tr>
<td>▪ Symptomatic carotid artery disease</td>
<td>▪ Symptomatic carotid artery disease</td>
</tr>
<tr>
<td>o Type 2 Diabetes, uncontrolled by pharmacotherapy</td>
<td>o Type 2 Diabetes, uncontrolled by pharmacotherapy</td>
</tr>
<tr>
<td>o Moderate to severe obstructive sleep apnea (OSA), as documented by a sleep study (polysomnography),* that has failed** an adequate trial of CPAP/BIPAP or oral appliance.</td>
<td>o Moderate to severe obstructive sleep apnea (OSA), as documented by a sleep study (polysomnography),* that has failed** an adequate trial of CPAP/BIPAP or oral appliance.</td>
</tr>
</tbody>
</table>
### Indication

| **Individual selection criteria** for adults with T2 diabetes and class I obesity | Bariatric (weight loss) surgery may be considered medically necessary for the treatment of adults with T2 diabetes and class I obesity (a BMI 30 kg/m² to 34.9 kg/m²) who have failed |

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### Coverage Criteria

**Note:** OSA severity categories: normal: AHI < 5 events/h, mild: AHI 5 to ≤15 events/h, moderate: AHI 15 to ≤ 30 events/h, and severe: AHI > 30 events/h

**Note:** CPAP/BIPAP or oral appliance failure is defined as residual AHI≥15 or inability to tolerate CPAP/BIPAP ≥ 4 hours per night for ≥ 5 nights per week.

**AND**

- Participation in a physician administered weight reduction program lasting at least three continuous months (over a 90-day period of time) within the 12-month period before surgery is considered.
  - Evidence of active participation documented in the medical record includes:
    - Weight
    - Current dietary program (e.g., MediFast, OptiFast)
    - Physical activity (e.g., exercise/work-out program)

**OR**

- Documentation of participation in a structured weight reduction program such as Weight Watchers or Jenny Craig is an acceptable alternative if done in conjunction with physician supervision.

**AND**

- Mental health evaluation and clearance by a licensed mental health provider to rule out any mental health disorders that would be a contraindication to bariatric surgery, rule out inability to provide informed consent, and rule out inability to comply with pre- and post-surgical requirements.

**Note:** A physician’s summary letter alone is not sufficient documentation.
**Indication**

**Coverage Criteria**

- **weight loss by conservative measures when ALL of the following criteria are met**
  - Individual has inadequate glycemic control (i.e., HbA1c**** level is ≥ 7) despite lifestyle changes and use of antidiabetic medications
  - AND
  - Participation in a physician administered weight reduction program lasting at least three continuous months (over a 90-day period of time) within the 12-month period before surgery is considered
  - OR
  - Documentation of participation in a structured weight reduction program such as Weight Watchers or Jenny Craig is an acceptable alternative if done in conjunction with physician supervision
  - AND
  - Mental health evaluation and clearance by a licensed mental health provider to rule out any mental health disorders that would be a contraindication to bariatric surgery, rule out inability to provide informed consent, and rule out inability to comply with pre- and post-surgical requirements

Note: A physician’s summary letter alone is not sufficient documentation.

****Note: HbA1c-Hemoglobin A1C

<table>
<thead>
<tr>
<th>Individual selection criteria for adolescents less than 18 years of age</th>
<th>Bariatric (weight loss) surgery may be considered medically necessary for the treatment of class III obesity in adolescents who have failed weight loss by conservative measures when ALL of the following criteria are met:</th>
</tr>
</thead>
<tbody>
<tr>
<td>The health plan contract allows bariatric surgery for those younger than 18 years of age (refer to member contract language for benefit determination on treatment of obesity for adolescents)</td>
<td>AND</td>
</tr>
<tr>
<td>Indication</td>
<td>Coverage Criteria</td>
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</tr>
</tbody>
</table>
| • The adolescent meets the same individual selection criteria as an adult with class II or III obesity  
**AND**  
• The facility has experienced staff to support adolescents including psychosocial and informed consent issues for bariatric surgery |                                                                                                                                                                                                               |
| **Note:** Devices used for laparoscopic adjustable gastric banding do not have FDA approval in the United States for individuals younger than age 18 years.                                                                 |
| Revision bariatric surgery to correct complications | Revision bariatric (weight loss) surgery (such as replacement and/or removal of an adjustable gastric band, surgical repair or reversal, or conversion to another covered bariatric surgical procedure) may be considered medically necessary to address perioperative or late complications from the primary bariatric procedure including, but not limited to:  
• Band erosion, slippage, leakage, herniation, or intractable nausea/vomiting that cannot be corrected with manipulation or adjustment  
• Non-absorption resulting in hypoglycemia or malnutrition  
• Obstruction  
• Staple-line failure (e.g., gastrogastric fistula)  
• Stricture  
• Treatment of symptomatic gastroesophageal reflux disease (GERD) meeting the following criteria:  
  o Reflux is documented by abnormal 24 hour pH monitoring or endoscopic findings of esophagitis performed after a sleeve gastrectomy, and  
  o Symptoms persist despite optimal medical therapy (i.e., 8 weeks of daily proton pump inhibitor [PPI] therapy)  
• Ulceration  
• Weight loss of 20% or more below ideal body weight  
**AND**  
• Coverage for bariatric surgery is available under the individual’s current health benefit plan |
<table>
<thead>
<tr>
<th>Indication</th>
<th>Coverage Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Revision of failed procedure due to dilation of the gastric pouch</td>
<td>Revision of a primary bariatric procedure that has failed due to dilation of the gastric pouch or dilation proximal to an adjustable gastric band (documented by upper gastrointestinal examination or endoscopy) is considered medically necessary if the initial procedure was successful in inducing weight loss prior to pouch dilation, and the individual has been compliant with a prescribed nutrition and exercise program.</td>
</tr>
</tbody>
</table>
| Reoperation bariatric surgery for inadequate weight loss | Reoperation of a previous bariatric surgical procedure due to inadequate weight loss, in the absence of a technical failure or major complication, may be considered medically necessary, when ALL of the following criteria are met:  
  - All criteria listed above for the initial procedure must be met again;  
  AND  
  - Previous surgery for morbid obesity was at least 2 years prior to the repeat procedure  
  AND  
  - There is documentation of compliance with the previously prescribed postoperative nutrition and exercise program  
  AND  
  - Coverage for bariatric surgery is available under the individual's current health benefit plan |

Cholecystectomy  
Routine cholecystectomy (gallbladder removal) may be considered medically necessary when performed at the time of bariatric surgery.

Hiatal hernia repair  
Repair of a hiatal hernia at the time of bariatric surgery may be considered medically necessary for individuals who have a preoperative diagnosis of hiatal hernia with clinical indications for surgical repair.

Repair of a hiatal hernia performed at the time of bariatric surgery in the absence of preoperative clinical indications for surgical repair is considered not medically necessary.

Routine liver biopsy  
Routine liver biopsy during obesity surgery is considered not medically necessary in the absence of preoperative signs or...
<table>
<thead>
<tr>
<th>Indication</th>
<th>Coverage Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>symptoms of liver disease (e.g., elevated liver enzymes, enlarged liver)</td>
<td></td>
</tr>
<tr>
<td>Bariatric surgery for a BMI less than 35 kg/m²</td>
<td>Bariatric (weight loss) surgery is considered not medically necessary for individuals with a BMI less than 35 kg/m² who do not have T2 diabetes and for all individuals with a BMI &lt; 30 kg/m².</td>
</tr>
<tr>
<td>Bariatric type surgery to treat conditions other than morbid obesity</td>
<td>Gastric bypass as a primary procedure using laparoscopic adjustable gastric banding, gastric bypass using a Roux-en-Y anastomosis, sleeve gastrectomy, or biliopancreatic bypass with duodenal switch for the treatment of gastroesophageal reflux disease (GERD), or gastroparesis in individuals not meeting the medical necessity criteria for obesity classifications noted above is considered investigational.</td>
</tr>
<tr>
<td>Vertical-banded gastroplasty (43842)</td>
<td>Vertical banded gastroplasty (VBG) (stomach stapling) is considered not medically necessary as a treatment for class III obesity due to high rates of complications, revisions, and reoperations.</td>
</tr>
<tr>
<td>Indication</td>
<td>Investigational</td>
</tr>
<tr>
<td>Non-covered bariatric (weight loss) surgery procedures</td>
<td>The following bariatric (weight loss) surgery procedures are considered investigational for the treatment of class III obesity:</td>
</tr>
<tr>
<td>(These listed procedures do not have specific codes assigned and could be</td>
<td>• Biliopancreatic diversion or bypass without duodenal switch</td>
</tr>
<tr>
<td>billed using any of the following unlisted procedure codes: 43659,</td>
<td>• Gastric bypass using a Billroth II type of anastomosis (mini-gastric bypass)</td>
</tr>
<tr>
<td>43999, 44238, or 44799)</td>
<td>• Laparoscopic gastric plication (aka laparoscopic greater curvature plication [LGCP]) (43843)</td>
</tr>
<tr>
<td></td>
<td>• Long-limb gastric bypass procedure (i.e., &gt;150 cm) (43847)</td>
</tr>
<tr>
<td></td>
<td>• Single anastomosis duodenoileal bypass with sleeve gastrectomy (SADI-S) (aka single anastomosis duodenal switch or stomach intestinal pylorus sparing surgery [SIPS])</td>
</tr>
<tr>
<td></td>
<td>• Two-stage bariatric surgery procedures (e.g., sleeve gastrectomy as initial procedure followed by biliopancreatic diversion at a later time)</td>
</tr>
</tbody>
</table>
### Indication

<table>
<thead>
<tr>
<th>Investigational</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Vagus nerve blocking (e.g., the VBLOC device or Maestro)</td>
</tr>
<tr>
<td>• Endoscopic procedures (aka endoluminal, endosurgical) as a primary bariatric procedure or as a revision procedure (i.e., to treat weight gain after bariatric surgery to remedy a large gastric stoma or large gastric pouches) including, but not limited to, any of the following:</td>
</tr>
<tr>
<td>o Endoscopic sleeve gastroplasty (ESG; Accordian procedure) (aka transoral gastroplasty, TOGA)</td>
</tr>
<tr>
<td>o Insertion of the StomaphyX device or any other closure device (e.g., Apollo OverStitch, EndoCinch System)</td>
</tr>
<tr>
<td>o Intragastric balloons (e.g., Orbera, ReShape, Obalon, Spatz3, TransPyloric Shuttle [TPS])</td>
</tr>
<tr>
<td>o Natural orifice transluminal endoscopic surgery (NOTES)</td>
</tr>
<tr>
<td>o Restorative obesity surgery, endoluminal (ROSE)</td>
</tr>
<tr>
<td>o Stomach aspiration therapy (drainage tube device) (e.g., AspireAssist)</td>
</tr>
<tr>
<td>o Transoral outlet reduction endoscopy (TORe procedure)</td>
</tr>
<tr>
<td>o Use of an endoscopically placed duodenal-jejunal sleeve (aka gastrointestinal liners, endoscopic gastrointestinal bypass device) (e.g., EndoBarrier)</td>
</tr>
</tbody>
</table>

### Documentation Requirements

The medical records submitted for review should document that medical necessity criteria are met. The record should include clinical documentation of ALL of the following criteria:

- A body mass index (BMI) greater than 40 kg/m², or BMI of 35 kg/m² or more with at least ONE (1) of the following obesity related comorbid conditions:
  - Established coronary heart disease
  - Other atherosclerotic disease
  - Type 2 diabetes uncontrolled by medications
  - Obstructive sleep apnea, as documented by a sleep study, that that has failed* an adequate trial of CPAP/BIPAP or oral appliance.

OR

- T2 diabetes and class I obesity (a BMI 30 kg/m² to 34.9 kg/m²) and inadequate glycemic control despite optimal lifestyle and medical therapy (e.g., Hb A1C level is ≥ 7)
Documentation Requirements

AND

- Completion of a physician administered weight-loss program that:
  - Lasted for at least three (3) months in a row (a 90-day period of time)
  - Took place within 12 months before the proposed weight loss surgery
  - Demonstrates in the medical record that the member actively took part in the program, as well as include member’s weight, the current dietary program (MediFast, OptiFast) and exercise/work-out program.
  - OR
  - Documents participation in a structured weight loss program such as Weight Watchers or Jenny Craig and that this program was supervised by the healthcare provider

- Mental health evaluation and clearance by a licensed mental health provider to rule out any mental health disorders that would be a contraindication to bariatric surgery, rule out inability to provide informed consent, and rule out inability to comply with presurgical and postsurgical requirements.

  **Note:** A letter by a healthcare provider is not enough to meet these criteria.

AND

- The requested bariatric surgical procedure is considered a medically necessary procedure for the age of the individual.

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Coding

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CPT</td>
<td></td>
</tr>
<tr>
<td>43290</td>
<td>Esophagogastroduodenoscopy, flexible, transoral; with deployment of intragastric bariatric balloon (new code effective 1/1/2023)</td>
</tr>
<tr>
<td>43291</td>
<td>Esophagogastroduodenoscopy, flexible, transoral; with removal of intragastric bariatric balloon(s) (new code effective 1/1/2023)</td>
</tr>
<tr>
<td>43644</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; with gastric bypass and Roux-en-Y gastroenterostomy (roux limb 150 cm or less)</td>
</tr>
<tr>
<td>Code</td>
<td>Description</td>
</tr>
<tr>
<td>---------</td>
<td>-----------------------------------------------------------------------------</td>
</tr>
<tr>
<td>43645</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; with gastric bypass and small intestine reconstruction to limit absorption</td>
</tr>
<tr>
<td>43659</td>
<td>Unlisted laparoscopy procedure, stomach</td>
</tr>
<tr>
<td>43770</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; placement of adjustable gastric restrictive device (eg, gastric band and subcutaneous port components)</td>
</tr>
<tr>
<td>43771</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; revision of adjustable gastric restrictive device component only</td>
</tr>
<tr>
<td>43772</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; removal of adjustable gastric restrictive device component only</td>
</tr>
<tr>
<td>43773</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; removal and replacement of adjustable gastric restrictive device component only</td>
</tr>
<tr>
<td>43774</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; removal of adjustable gastric restrictive device and subcutaneous port components</td>
</tr>
<tr>
<td>43775</td>
<td>Laparoscopy, surgical, gastric restrictive procedure; longitudinal gastrectomy (i.e., sleeve gastrectomy)</td>
</tr>
<tr>
<td>43842</td>
<td>Gastric restrictive procedure, without gastric bypass, for morbid obesity; vertical-banded gastroplasty</td>
</tr>
<tr>
<td>43843</td>
<td>Gastric restrictive procedure, without gastric bypass, for morbid obesity; other than vertical-banded gastroplasty</td>
</tr>
<tr>
<td>43845</td>
<td>Gastric restrictive procedure with partial gastrectomy, pylorus-preserving duodenoileostomy and ileoileostomy (50 to 100 cm common channel) to limit absorption (biliopancreatic diversion with duodenal switch)</td>
</tr>
<tr>
<td>43846</td>
<td>Gastric restrictive procedure, with gastric bypass for morbid obesity; with short limb (150 cm or less) Roux-en-Y gastroenterostomy</td>
</tr>
<tr>
<td>43847</td>
<td>Gastric restrictive procedure, with gastric bypass for morbid obesity; with small intestine reconstruction to limit absorption</td>
</tr>
<tr>
<td>43848</td>
<td>Revision, open, of gastric restrictive procedure for morbid obesity, other than adjustable gastric restrictive device (separate procedure)</td>
</tr>
<tr>
<td>43886</td>
<td>Gastric restrictive procedure, open; revision of subcutaneous port component only</td>
</tr>
<tr>
<td>43887</td>
<td>Gastric restrictive procedure, open; removal of subcutaneous port component only</td>
</tr>
<tr>
<td>43888</td>
<td>Gastric restrictive procedure, open; removal and replacement of subcutaneous port component only</td>
</tr>
<tr>
<td>43999</td>
<td>Unlisted procedure, stomach</td>
</tr>
<tr>
<td>Code</td>
<td>Description</td>
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<td>--------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>44238</td>
<td>Unlisted laparoscopy procedure, intestine (except rectum)</td>
</tr>
<tr>
<td>44799</td>
<td>Unlisted procedure, small intestine</td>
</tr>
<tr>
<td>0312T</td>
<td>Vagus nerve blocking therapy (morbid obesity); laparoscopic implantation of neurostimulator electrode array, anterior and posterior vagal trunks adjacent to esophagogastric junction (EGJ), with implantation of pulse generator, includes programming (code termed effective 1/1/2023)</td>
</tr>
<tr>
<td>0313T</td>
<td>Vagus nerve blocking therapy (morbid obesity); laparoscopic implantation of neurostimulator electrode array, anterior and posterior vagal trunks adjacent to esophagogastric junction (EGJ), with implantation of pulse generator, includes programming (code termed effective 1/1/2023)</td>
</tr>
<tr>
<td>0314T</td>
<td>Vagus nerve blocking therapy (morbid obesity); laparoscopic removal of vagal trunk neurostimulator electrode array and pulse generator (code termed effective 1/1/2023)</td>
</tr>
<tr>
<td>0315T</td>
<td>Vagus nerve blocking therapy (morbid obesity); removal of pulse generator (code termed effective 1/1/2023)</td>
</tr>
<tr>
<td>0316T</td>
<td>Vagus nerve blocking therapy (morbid obesity); replacement of pulse generator (code termed effective 1/1/2023)</td>
</tr>
<tr>
<td>0317T</td>
<td>Vagus nerve blocking therapy (morbid obesity); neurostimulator pulse generator electronic analysis, includes reprogramming when performed (code termed effective 1/1/2023)</td>
</tr>
</tbody>
</table>

**HCPCS**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>C9784</td>
<td>Gastric restrictive procedure, endoscopic sleeve gastroplasty, with esophagogastroduodenoscopy and intraluminal tube insertion, if performed, including all system and tissue anchoring components (new code effective 7/1/2023)</td>
</tr>
<tr>
<td>C9785</td>
<td>Endoscopic outlet reduction, gastric pouch application, with endoscopy and intraluminal tube insertion, if performed, including all system and tissue anchoring components (new code effective 7/1/2023)</td>
</tr>
</tbody>
</table>

*Note:* CPT codes, descriptions and materials are copyrighted by the American Medical Association (AMA). HCPCS codes, descriptions and materials are copyrighted by Centers for Medicare Services (CMS).

**Related Information**
CDC Classification of Obesity

Per the Centers for Disease Control and Prevention (CDC), obesity is also frequently classified into the following categories:

- Class I: BMI of 30 to < 35 kg/m²
- Class II: BMI of 35 to < 40 kg/m²
- Class III: BMI of 40 kg/m² or higher (class III obesity is sometimes categorized as “severe” obesity).¹

CDC T2 Diabetes Diagnosis Test Results Criteria

- Hemoglobin A1C (HbA1c) test-6.5 % or above, or
- Fasting blood sugar (FBS) test-126 mg/dl or above, or
- Glucose tolerance test-200 mg/dl or above

Body Mass Index Calculation

BMI is calculated by dividing an individual’s weight (in kilograms) by height (in meters) squared.

- To convert pounds to kilograms, multiply pounds by 0.45
- To convert inches to meters, multiply inches by 0.0254
- Click here for BMI calculation.

Individual Selection Criteria

Class III obesity, formerly known as morbid obesity, is defined as a body mass index (BMI) ≥ 40 kg/m² or a BMI of 35 kg/m² or more with at least one clinically significant obesity-related disease such as diabetes, obstructive sleep apnea (OSA), coronary artery disease, or hypertension for which these complications or diseases are not controlled by best practice
medical management. However, no evidence-based guidance has been identified that explicitly defines thresholds for determining the clinical significance of obesity-related disease that would qualify individuals for bariatric surgery.

Individuals should have documented failure to respond to conservative measures for weight reduction prior to consideration of bariatric surgery, and these attempts should be reviewed by the practitioner prior to seeking approval for the surgical procedure. As a result, some centers require active participation in a formal weight reduction program that includes frequent documentation of weight, dietary regimen, and exercise. However, there is a lack of evidence on the optimal timing, intensity, and duration of nonsurgical attempts at weight loss, and whether a medical weight loss program immediately preceding surgery improves outcomes.

Individuals with a BMI of 50 kg/m² or more need a bariatric procedure to achieve greater weight loss. Thus, the use of adjustable gastric banding, which results in less weight loss, should be most useful as a procedure for individuals with a BMI less than 50 kg/m². Malabsorptive procedures, although they produce more dramatic weight loss, potentially result in nutritional complications, and the risks and benefits of these procedures must be carefully weighed in light of the treatment goals for each individual.

Individuals who undergo adjustable gastric banding and fail to achieve adequate weight loss must show evidence of postoperative compliance with diet and regular bariatric visits prior to consideration of a second bariatric procedure.

**Considerations for Bariatric Surgery in Adolescents**

Guidelines for bariatric surgery in adolescents are not uniform, with variability in weight-based criteria, ranging from a BMI of 35 kg/m² with comorbidities to a BMI of 50 kg/m². Most guidelines use weight-based criteria that parallel those for adults.

In addition to the weight-based criteria, there is greater emphasis on issues of developmental maturity, psychosocial status, and informed consent for adolescent individuals. All guidelines mention these issues, but recommendations are not uniform for addressing them (see Guidelines for Children and Adolescents under Practice Guidelines and Position Statements).

The choice of procedure in adolescents may also differ from adults, but there is a lack of consensus in guidelines or expert opinion as to the preferred procedure(s) for adolescents. The following factors should be considered in the choice of bariatric surgery in adolescents.
As in adults, laparoscopic gastric bypass is the most common procedure in adolescents.

Devices used for laparoscopic adjustable gastric banding do not have FDA approval in the United States for individuals younger than age 18 years.

Some guidelines for bariatric surgery in adolescents do not recommend biliopancreatic diversions because of the greater frequency of nutritional deficiencies on long-term follow-up, but other guidelines do not specify that biliopancreatic diversion not be done in adolescents.

**Hiatal Hernia Repair Guidelines**

In 2018, the American Society for Metabolic and Bariatric Surgery (ASMBS) and the American Hernia Society published a consensus guideline on bariatric surgery and hernia surgery.9 The guideline contained the following conclusions and summary recommendations:

- "There is a significant link between obesity and hernia formation both after abdominal surgery and de novo. There is also evidence that abdominal wall hernia can more commonly present with obstruction or strangulation in individuals with obesity."

- "There is a higher risk for complications and recurrence after hernia repair in individuals with obesity."

- "In individuals with severe obesity and ventral hernia, and both being amenable to laparoscopic repair, combined hernia repair and metabolic/bariatric surgery may be safe and associated with good short-term outcomes and low risk of infection. There is a relative lack of evidence, however, about the use of synthetic mesh in this setting."

- "In individuals with severe obesity and abdominal wall hernia that is not amenable to laparoscopic repair, a staged approach is recommended. Weight loss prior to hernia repair is likely to improve hernia repair outcomes. Metabolic/bariatric surgery appears to provide far more significant and rapid weight loss than other modalities and would be a good option for selected individuals with severe obesity and large, symptomatic abdominal wall hernia."

The Society of American Gastrointestinal and Endoscopic Surgeons issued evidence-based guidelines for the management of hiatal hernia.10 The Society noted that the general
methodologic quality of available studies is low. Recommendations for indications for repair are as follows:

- “Repair of a type I hernia [sliding hiatal hernias, where the gastroesophageal junction migrates above the diaphragm] in the absence of reflux disease is not necessary” (moderate quality evidence, strong recommendation).

- “All symptomatic paraesophageal hiatal hernias should be repaired [high-quality evidence, strong recommendation], particularly those with acute obstructive symptoms or which have undergone volvulus.”

- “Routine elective repair of completely asymptomatic paraesophageal hernias may not always be indicated. Consideration for surgery should include the individual’s age and co-morbidities” (moderate quality evidence, weak recommendation).

Evidence Review

Description

Bariatric surgery is a treatment for class III obesity in individuals who fail to lose weight with conservative measures. There are numerous gastric and intestinal surgical techniques available. While these techniques have heterogeneous mechanisms of action, the result is a smaller gastric pouch that leads to restricted eating. However, these surgeries may lead to malabsorption of nutrients or eventually to metabolic changes.

Background

Bariatric Surgery

Bariatric surgery is performed to treat class III (clinically severe) obesity. Class III obesity, formerly referred to as morbid obesity, is defined as a body mass index (BMI) greater than 40 kg/m² or a BMI greater than 35 kg/m² with associated complications including, but not limited to, diabetes, hypertension, or obstructive sleep apnea (OSA). Class III obesity results in a very high risk for weight-related complications, such as diabetes, hypertension, obstructive sleep apnea, and
various types of cancers (for men: colon, rectal, prostate; for women: breast, uterine, ovarian), and a shortened life span. A man with class III obesity at age 20 can expect to live 13 fewer years than his counterpart with a normal BMI, which equates to a 22% reduction in life expectancy.

The first treatment of class III obesity is dietary and lifestyle changes. Although this strategy may be effective in some individuals, only a few individuals with class III obesity can reduce and control weight through diet and exercise. Most individuals find it difficult to comply with these lifestyle modifications on a long-term basis. When conservative measures fail, some individuals may consider surgical approaches.

Resolution (cure) or improvement of type 2 diabetes after bariatric surgery and observations that glycemic control may improve immediately after surgery, before a significant amount of weight is lost, have promoted interest in a surgical approach to the treatment of type 2 diabetes. The various surgical procedures have different effects, and gastrointestinal rearrangement seems to confer additional antidiabetic benefits independent of weight loss and caloric restriction. The precise mechanisms are not clear, and multiple mechanisms may be involved. Gastrointestinal peptides, e.g., glucagon-like peptide-1, glucose-dependent insulinothetic peptide, and peptide YY, are secreted in response to contact with unabsorbed nutrients and by vagally mediated parasympathetic neural mechanisms. Glucagon-like peptide-1 is secreted by the L cells of the distal ileum in response to ingested nutrients and acts on pancreatic islets to augment glucose-dependent insulin secretion. It also slows gastric emptying, which delays digestion, blunts postprandial glycemia, and acts on the central nervous system to induce satiety and decrease food intake. Other effects may improve insulin sensitivity. Glucose-dependent insulinothetic peptide acts on pancreatic beta cells to increase insulin secretion through the same mechanisms as glucagon-like peptide-1, although it is less potent. Peptide YY is also secreted by the L cells of the distal intestine and increases satiety and delays gastric emptying.

**Types of Bariatric Surgery Procedures**

**Open Gastric Bypass**

The original gastric bypass surgeries were based on the observation that postgastrectomy individuals tended to lose weight. The current procedure (CPT 43846) involves both a restrictive and a malabsorptive component, with the horizontal or vertical partition of the stomach performed in association with a Roux-en-Y procedure (i.e., a gastrojejunal anastomosis). Thus, the flow of food bypasses the duodenum and proximal small bowel. The procedure may also be associated with an unpleasant “dumping syndrome,” in which a large osmotic load delivered
directly to the jejunum from the stomach produces abdominal pain and/or vomiting. The dumping syndrome may further reduce intake, particularly in “sweets eaters.” Surgical complications include leakage and operative margin ulceration at the anastomotic site. Because the normal flow of food is disrupted, there are more metabolic complications than with other gastric restrictive procedures, including iron deficiency anemia, vitamin B12 deficiency, and hypocalcemia, all of which can be corrected by oral supplementation. Another concern is the ability to evaluate the “blind” bypassed portion of the stomach. Gastric bypass may be performed with either an open or laparoscopic technique.

Note: In 2005, the CPT code 43846 was revised to indicate that the short limb must be 150 cm or less, compared with the previous 100 cm. This change reflects the common practice in which the alimentary (i.e., jejunal limb) of a gastric bypass has been lengthened to 150 cm. This length also serves to distinguish a standard gastric bypass with a very long, or very, very long gastric bypass, as discussed further here.

**Laparoscopic Gastric Bypass**

CPT code 43644 was introduced in 2005 and described the same procedure as open gastric bypass (CPT code 43846), but performed laparoscopically.

**Adjustable Gastric Banding**

Adjustable gastric banding (CPT code 43770) involves placing a gastric band around the exterior of the stomach. The band is attached to a reservoir implanted subcutaneously in the rectus sheath. Injecting the reservoir with saline will alter the diameter of the gastric band; therefore, the rate-limiting stoma in the stomach can be progressively narrowed to induce greater weight loss, or expanded if complications develop. Because the stomach is not entered, the surgery and any revisions, if necessary, are relatively simple.

Complications include slippage of the external band or band erosion through the gastric wall. Adjustable gastric banding has been widely used in Europe. Two banding devices are approved by the U.S. Food and Drug Administration (FDA) for marketing in the United States. The first to receive FDA approval was the LAP-BAND (original applicant, Allergan, BioEnterics, Carpinteria, CA; now Apollo Endosurgery, Austin, TX). The labeled indications for this device are as follows:
• The LAP-BAND system is indicated for use in weight reduction for severely obese individuals with a body mass index (BMI) of at least 40 or a BMI of at least 35 with one or more severe comorbid conditions, or those who are 100 lb or more over their estimated ideal weight according to the 1983 Metropolitan Life Insurance Tables (use the midpoint for medium frame). It is indicated for use only in severely obese adult individuals who have failed more conservative weight-reduction alternatives, such as supervised diet, exercise and behavior modification programs. Individuals who elect to have this surgery must make the commitment to accept significant changes in their eating habits for the rest of their lives.

• In 2011, FDA-labelled indications for the LAP-BAND were expanded to include individuals with a BMI from 30 to 34 kg/m² with at least 1 obesity-related comorbid condition.

The second adjustable gastric banding device approved by the FDA through the premarket approval process is the REALIZE model (Ethicon Endo-Surgery, Cincinnati, OH). Labeled indications for this device are:

• The [REALIZE] device is indicated for weight reduction for morbidly obese individuals and is indicated for individuals with a Body Mass Index of at least 40 kg/m², or a BMI of at least 35 kg/m² with one or more comorbid conditions. The Band is indicated for use only in morbidly obese adult individuals who have failed more conservative weight-reduction alternatives, such as supervised diet, exercise, and behavior modification programs.

**Sleeve Gastrectomy**

A sleeve gastrectomy (CPT code 43775) is an alternative approach to gastrectomy that can be performed on its own or in combination with malabsorptive procedures (most commonly biliopancreatic diversion [BPD] with duodenal switch). In this procedure, the greater curvature of the stomach is resected from the angle of His to the distal antrum, resulting in a stomach remnant shaped like a tube or sleeve. The pyloric sphincter is preserved, resulting in a more physiologic transit of food from the stomach to the duodenum and avoiding the dumping syndrome (overly rapid transport of food through the stomach into intestines) seen with distal gastrectomy. This procedure is relatively simple to perform and can be done as an open or laparoscopic procedure. Some surgeons have proposed the sleeve gastrectomy as the first in a 2-stage procedure for very high risk individuals. Weight loss following sleeve gastrectomy may improve an individual’s overall medical status and, thus, reduce the risk of a subsequent more extensive malabsorptive procedure (e.g., biliopancreatic diversion).
**Gastroesophageal Changes After Sleeve Gastrectomy**

Since 2013 sleeve gastrectomy is considered the most performed weight loss surgery in the United States as it has surpassed the Roux-en-Y procedure at 60% compared to 21% respectively as of 2020. It is seen as an easy to perform procedure with good weight loss results (comparable to the Roux-en-Y procedure) and less complications than the Roux-en-Y procedure at least in the first two years postoperatively. After two years, the incidence of complications is higher with the sleeve gastrectomy procedure mainly due to a higher incidence of de novo gastroesophageal reflux disease, which is estimated to be about 20-30%. Esophagitis and Barret esophagus are also noted to be a late complication of sleeve gastrectomy with a prevalence of 28% and 8% respectively. The first line treatment for gastroesophageal reflux is antireflux medical therapy and if an individual is unresponsive to first line medical therapy, approximately 4% of sleeve gastrectomy procedures have required conversion to the Roux-en-Y procedure which brought about positive resolution of gastroesophageal symptoms.185, 187, 194

**Biliopancreatic Diversion**

The biliopancreatic diversion procedure (BPD) (also known as the Scopinaro procedure; CPT code 43847) developed and used extensively in Italy, was designed to address drawbacks of the original intestinal bypass procedures that have been abandoned due to unacceptable metabolic complications. Many complications were thought to be related to bacterial overgrowth and toxin production in the blind, bypassed segment. In contrast, BPD consists of a subtotal gastrectomy and diversion of the biliopancreatic juices into the distal ileum by a long Roux-en-Y procedure. The procedure consists of the following components:

a. A distal gastrectomy induces a temporary early satiety and/or the dumping syndrome in the early postoperative period, both of which limit food intake.

b. A 200-cm long “alimentary tract” consists of 200 cm of ileum connecting the stomach to a common distal segment.

c. A 300- to 400-cm “biliary tract” connects the duodenum, jejunum, and remaining ileum to the common distal segment.

d. A 50- to 100-cm “common tract” is where food from the alimentary tract mixes with biliopancreatic juices from the biliary tract. Food digestion and absorption, particularly of fats and starches, are therefore limited to this small segment of bowel, creating selective
malabsorption. The length of the common segment will influence the degree of malabsorption.

Because of the high incidence of cholelithiasis associated with the procedure, individuals typically undergo an associated cholecystectomy.

Many potential metabolic complications are related to BPD, including, most prominently, iron deficiency anemia, protein malnutrition, hypocalcemia, and bone demineralization. Protein malnutrition may require treatment with total parenteral nutrition. Also, several case reports have noted liver failure resulting in death or liver transplant.

**BPD With Duodenal Switch**

CPT code 43845, which specifically identifies the duodenal switch procedure, was introduced in 2005. The duodenal switch procedure is a variant of the BPD previously described. In this procedure, instead of performing a distal gastrectomy, a sleeve gastrectomy is performed along the vertical axis of the stomach. This approach preserves the pylorus and initial segment of the duodenum, which is then anastomosed to a segment of the ileum, similar to the BPD, to create the alimentary limb. Preservation of the pyloric sphincter is intended to ameliorate the dumping syndrome and decrease the incidence of ulcers at the duodenoileal anastomosis by providing a more physiologic transfer of stomach contents to the duodenum. The sleeve gastrectomy also decreases the volume of the stomach and decreases the parietal cell mass. However, the basic principle of the procedure is similar to that of the BPD, i.e., producing selective malabsorption by limiting the food digestion and absorption to a short common ileal segment.

**Vertical-Banded Gastroplasty**

Vertical-banded gastroplasty (VBG; CPT code 43842) was formerly one of the most common gastric restrictive procedures performed in the United States, but has now been replaced by other restrictive procedures due to high rates of revisions and reoperations. In this procedure, the stomach is segmented along its vertical axis. In order to create a durable reinforced and rate-limiting stoma at the distal end of the pouch, a plug of the stomach is removed, and a propylene collar is placed through this hole and then stapled to itself. Because the normal flow of food is preserved, metabolic complications are uncommon. Complications include esophageal reflux, dilation, or obstruction of the stoma, with the latter two requiring
reoperation. Dilation of the stoma is a common reason for weight regain. Vertical-banded gastroplasty may be performed using an open or laparoscopic approach.

**Long-Limb Gastric Bypass (i.e., >150 cm)**

Variations of gastric bypass procedures have been described, consisting primarily of long-limb Roux-en-Y procedures (CPT code 43847), which vary in the length of the alimentary and common limbs. For example, the stomach may be divided with a long segment of the jejunum (instead of ileum) anastomosed to the proximal gastric stump, creating the alimentary limb. The remaining pancreaticobiliary limb, consisting of stomach remnant, duodenum, and length of proximal jejunum, is then anastomosed to the ileum, creating a common limb of variable length in which the ingested food mixes with the pancreaticobiliary juices. While the long alimentary limb permits absorption of most nutrients, the short common limb primarily limits absorption of fats. The stomach may be bypassed in a variety of ways (e.g., resection or stapling along the horizontal or vertical axis). Unlike the traditional gastric bypass, which is a gastric restrictive procedure, these very long-limb Roux-en-Y gastric bypasses combine gastric restriction with some element of malabsorptive procedure, depending on the location of the anastomoses. Note that CPT code for gastric bypass (43846) explicitly describes a short limb (<150 cm) Roux-en-Y gastroenterostomy, and thus would not apply to long-limb gastric bypass.

**Laparoscopic Malabsorptive Procedure**

CPT code 43645 was introduced in 2005 to specifically describe a laparoscopic malabsorptive procedure. However, the code does not specifically describe any specific malabsorptive procedure.

**Laparoscopic Gastric Plication**

Laparoscopic gastric plication is a bariatric procedure that involves laparoscopic placement of sutures over the greater curvature (laparoscopic greater curvature plication) or anterior gastric region (laparoscopic anterior curvature plication) to create a tube-like stomach. To achieve gastric restriction the procedure requires 2 main steps, mobilization of the greater curvature of the stomach and suture plication of the stomach. CPT code 43843 Gastric restrictive procedure,
without gastric bypass, for morbid obesity; other than vertical-banded gastroplasty is commonly used for this procedure.

Weight Loss Outcomes

There is no uniform standard for reporting results of weight loss or for describing a successful procedure. Common methods of reporting the amount of body weight loss are the percent of ideal body weight achieved or percent of excess body weight (EBW) loss, with the latter most commonly reported. EBW is defined as actual weight minus “ideal weight” and “ideal weight” is based on 1983 Metropolitan Life Insurance height-weight tables for medium frame.

These two reporting methods are generally preferred over the absolute amount of weight loss, because they reflect the ultimate goal of surgery: to reduce weight to a range that minimizes obesity-related morbidity. Obviously, an increasing degree of obesity will require a greater amount of weight loss to achieve these target goals. There are different definitions of successful outcomes, but a successful procedure is often considered one in which at least 50% of EBW is lost, or when the individual returns to within 30% of ideal body weight. The results may also be expressed as the percentage of individuals losing at least 50% of EBW. Table 1 summarizes the variations in reporting weight loss outcomes.

Table 1. Weight Loss Outcomes

<table>
<thead>
<tr>
<th>Outcome Measure</th>
<th>Definition</th>
<th>Clinical Significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Decrease in weight</td>
<td>Absolute difference in weight pre- and posttreatment</td>
<td>Unclear relation to outcomes, especially in class III obese</td>
</tr>
<tr>
<td>Decrease in BMI</td>
<td>Absolute difference in BMI pre- and posttreatment</td>
<td>May be clinically significant if change in BMI clearly leads to change in risk category</td>
</tr>
<tr>
<td>Percent EBW loss</td>
<td>Amount of weight loss divided by EBW</td>
<td>Has anchor to help frame clinical significance; unclear threshold for clinical significance</td>
</tr>
<tr>
<td>Percent individuals losing &gt;50% of EBW</td>
<td>Number individuals losing &gt;50% EBW divided by total individuals</td>
<td>Additional advantage of framing on per individual basis. Threshold for significance (&gt;50%) arbitrary.</td>
</tr>
</tbody>
</table>
**Outcome Measure** | **Definition** | **Clinical Significance**
--- | --- | ---
Percent ideal body weight | Final weight divided by ideal body weight | Has anchor to help frame clinical significance; unclear threshold for clinical significance

BMI: body mass index; EBW: excess body weight.

**Durability of Weight Loss**

Weight change (i.e., gain or loss) at yearly intervals is often reported. Weight loss at one year is considered the minimum length of time for evaluating these procedures; weight loss at 3 to 5 years is considered an intermediate time period for evaluating weight loss; and weight loss at 5 to 10 years or more is considered to represent long-term weight loss following bariatric surgery.

**Short-Term Complications (Operative and Perioperative Complications <30 Days)**

In general, the incidence of operative and perioperative complications is increased in obese individuals, particularly in thromboembolism and wound healing. Other perioperative complications include anastomotic leaks, bleeding, bowel obstruction, and cardiopulmonary complications (e.g., pneumonia, myocardial infarction).

**Reoperation Rate**

Reoperation may be required to either “take down” or revise the original procedure. Reoperation may be particularly common in vertical-banded gastroplasty due to pouch dilation.

**Long-Term Complications (Metabolic Adverse Events, Nutritional Deficiencies)**

Metabolic adverse events are of particular concern in malabsorptive procedures. Other long-term complications include anastomotic ulcers, esophagitis, and procedure-specific complications such as band erosion or migration for gastric-banding surgeries.
Improved Health Outcomes in Terms of Weight-Related Comorbidities

Aside from psychosocial concerns, which may be considerable, one motivation for bariatric surgery is to decrease the incidence of complications of obesity, such as diabetes, cardiovascular risk factors (i.e., increased cholesterol, hypertension), obstructive sleep apnea, or arthritis. Unfortunately, these final health outcomes are not consistently reported.

Summary of Evidence

Adults With Class III Obesity

For individuals who are adults with class III obesity who receive gastric bypass, the evidence includes randomized controlled trials (RCTs), observational studies, and systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. TEC Assessments and other systematic reviews of RCTs and observational studies found that gastric bypass improves health outcomes, including weight loss and remission of type 2 diabetes (T2D). A TEC Assessment found similar weight loss with open and laparoscopic gastric bypass. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive laparoscopic adjustable gastric banding (LAGB), the evidence includes RCTs, observational studies, and systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. Systematic reviews of RCTs and observational studies have found that LAGB is a reasonable alternative to gastric bypass. There is less weight loss with LAGB than with gastric bypass, but LAGB is less invasive and is associated with fewer serious adverse events. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive sleeve gastrectomy (SG), the evidence includes RCTs, observational studies (evaluating SG alone and comparing SG with gastric bypass), as well as systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-
related mortality and morbidity. Systematic reviews of RCTs and observational studies have found that SG results in substantial weight loss and that this weight loss is durable for at least five years. A meta-analysis found that short-term weight loss was similar after SG compared with gastric bypass. Long-term weight loss was greater after gastric bypass but SG is associated with fewer adverse events. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive biliopancreatic diversion (BPD) with duodenal switch, the evidence includes nonrandomized comparative studies, observational studies and a systematic review. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. Non-randomized comparative studies have found significantly higher weight loss after BPD with duodenal switch compared with gastric bypass at one year. A large case series found sustained weight loss after seven years. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive BPD without duodenal switch, the evidence includes observational studies and systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. A TEC Assessment reviewed the available observational studies and concluded that weight loss was similar after BPD without a duodenal switch or gastric bypass. However, concerns have been raised about complications associated with BPD without duodenal switch, especially long-term nutritional and vitamin deficiencies. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive vertical-banded gastroplasty (VBG), the evidence includes observational studies and systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. A TEC Assessment identified eight nonrandomized comparative studies evaluating VBG and these studies found that weight loss was significantly greater with open gastric bypass. Moreover, VBG has relatively high rates of complications, revisions, and reoperations. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive 2-stage bariatric surgery procedures, the evidence includes a small RCT, observational studies, and case series. The relevant outcomes are overall survival, change in disease status, functional outcomes, health
status measures, quality of life, and treatment-related mortality and morbidity. There is a lack of evidence that 2-stage bariatric procedures improve outcomes compared with 1-stage procedures. The small RCT compared intragastric balloon (IGB) plus gastric bypass with the standard of care plus gastric bypass and did not detect a difference in weight loss at six months postsurgery. Case series have shown relatively high complication rates in 2-stage procedures, and individuals are at risk of complications in both stages. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive laparoscopic gastric plication, the evidence includes an RCT, an observational study, and systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. A 2021 systematic review demonstrated that laparoscopic SG is superior to laparoscopic greater curvature gastric plication with regard to providing effective weight loss through 24 months; statistical significance was not reached at 36 months. The difference in the improvement of comorbidities and risk of major complications or mortality did not reach statistical significance between groups. One additional RCT compared endoscopic gastric plication with a sham procedure, reporting 1-year follow-up results in favor of the intervention. Additional comparative studies and RCTs with longer follow-up are needed to permit conclusions about the safety and efficacy of laparoscopic gastric plication. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive single anastomosis duodenoileal bypass with sleeve gastrectomy (SADI-S), the evidence includes a systematic review of observational studies and case series. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. A systematic review of 12 observational studies concluded that SADI-S was associated with promising weight loss and comorbidity resolution. A comparative chart review found that individuals without diabetes experienced significantly better weight loss and lipid profiles with SADI-S than with Roux-en-Y gastric bypass (RYGB) and individuals who had diabetes experienced significantly higher rates of remission with SADI-S than with RYGB. Comparative studies and especially RCTs are needed to permit conclusions about the safety and efficacy of single anastomosis duodenoileal bypass with (SADI-S). The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive a duodenojejunal sleeve, the evidence includes RCTs, systematic reviews, and an observational study. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of
life, and treatment-related mortality and morbidity. A systematic review of duodenojejunal sleeves included five RCTs and found significantly greater short-term weight loss (12-24 weeks) with the sleeves compared with medical therapy. There was no significant difference in symptoms associated with diabetes. All RCTs were small and judged by systematic reviewers to be at high risk of bias. High-quality comparative studies are needed to permit conclusions on the safety and efficacy of the procedure. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive intragastric balloon (IGB) devices, the evidence includes RCTs, systematic reviews, and case series. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. RCTs assessing the two IGB devices approved by the FDA have found significantly greater weight loss with IGB than with sham treatment or lifestyle therapy alone after six months (maximum length of device use). Some adverse events were reported, mainly related to accommodation of the balloon in the stomach; in a minority of cases, these adverse events were severe. One RCT followed individuals for an additional six months after IGB removal and found sustained weight loss. There are limited data on the durability of weight loss in the long term. Comparative data are lacking. A large case series found that individuals gradually regained weight over time. Moreover, it is unclear how six months of IGB use would fit into a long-term weight loss and maintenance intervention. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

For individuals who are adults with class III obesity who receive an aspiration therapy (AT) device, the evidence includes an RCT and case series. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. The RCT found significantly greater weight loss with AT than lifestyle therapy at one year. 40 of 58 individuals (69%) achieved at least 10% total weight loss (TWL) at four years or at time of study withdrawal; however, only 15/111 initial aspiration therapy (AT) individuals completed the study through four years. In addition to a high degree of missing data, the Pivotal Aspiration Therapy with Adjusted Lifestyle (PATHWAY) study noted a potentially large number of adverse events related to aspiration-tube malfunction, an element of the therapy which is expected to require replacement within approximately 3.5 years postgastrostomy in 50% of cases. The impact of this on health outcomes compared to existing surgical approaches is unknown. One small case series reported on 15 individuals at two years. The total amount of data on AT remains limited and additional studies are needed before conclusions can be drawn about the effects of treatment on weight loss, metabolism safety,
nutrition and long-term durability of treatment. The evidence is insufficient to determine that
the technology results in an improvement in the net health outcome.

Revision Bariatric Surgery

For individuals who are adults with class III obesity and failed bariatric surgery who receive
revision bariatric surgery, the evidence includes systematic reviews, case series and registry data.
Relevant outcomes are overall survival, change in disease status, functional outcomes, health
status measures, quality of life, and treatment-related mortality and morbidity. Systematic
reviews and case series have shown that individuals receiving revision bariatric surgery
experienced satisfactory weight loss. Data from a multinational bariatric surgery database has
found that corrective procedures following primary bariatric surgery are relatively uncommon
but generally safe and efficacious. The evidence is sufficient to determine that the technology
results in an improvement in the net health outcome.

Adults with Type 2 Diabetes

For individuals who are diabetic and do not have class III obesity who receive gastric bypass,
sleeve gastrectomy, biliopancreatic diversion, or laparoscopic adjustable gastric banding, the
evidence includes systematic reviews of RCTs and observational studies. Relevant outcomes are
overall survival, change in disease status, functional outcomes, health status measures, quality of
life, and treatment-related mortality and morbidity. Systematic reviews of RCTs and
observational studies have found that certain types of bariatric surgery are more efficacious than
medical therapy as a treatment for type 2 diabetes in obese individuals, including those with a
BMI between 30 and 34.9 kg/m². The greatest amount of evidence is on gastric bypass.
Systematic reviews have found significantly greater remission rates of diabetes, decrease in
hemoglobin A1c levels, and decrease in BMI with bariatric surgery than with nonsurgical
treatment. The efficacy of surgery is balanced against the short-term risks of the surgical
procedure. Most RCTs in this population have 1 to 3 years of follow-up; with a few having 5-year
follow-up data. There are clinical concerns about durability and long-term outcomes at 5 to 10
years as well as potential variation in observed outcomes in community practice versus clinical
trials. The evidence is sufficient to determine that the technology results in an improvement in
the net health outcome.
Nondiabetic and Nonobese Adults

For individuals who are not diabetic and do not have class III obesity who receive any bariatric surgery procedure, the evidence includes RCTs, nonrandomized comparative studies, and case series. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. There is limited evidence for bariatric surgery in individuals who are not diabetic and do not have class III obesity. A few small RCTs and case series have reported a loss of weight and improvements in comorbidities for this population. However, the evidence does not permit conclusions on the long-term risk-benefit ratio of bariatric surgery in this population. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

Adolescent Children with Class III Obesity

Gastric Bypass, Laparoscopic Adjustable Gastric Banding, or Sleeve Gastrectomy

For individuals who are adolescent children with class III obesity who receive gastric bypass or LAGB, or SG, the evidence includes RCTs, observational studies, and systematic reviews. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. Systematic reviews of studies on bariatric surgery in adolescents, who mainly received gastric bypass or LAGB, or SG found significant weight loss and reductions in comorbidity outcomes with bariatric surgery. For bariatric surgery in the adolescent population, although data are limited on some procedures, studies have generally reported that weight loss and reduction in risk factors for adolescents are similar to that for adults. Most experts and clinical practice guidelines have recommended that bariatric surgery in adolescents be reserved for individuals with severe comorbidities, or for individuals with a BMI greater than 50 kg/m². Also, greater consideration should be placed on the individual’s developmental stage, on the psychosocial aspects of obesity and surgery, and on ensuring that the individual can provide fully informed consent. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.

Bariatric Surgery Other Than Gastric Bypass, LAGB, or SG

For individuals who are adolescent children with class III obesity who receive bariatric surgery other than gastric bypass, or LAGB, or SG, the evidence includes systematic reviews and a cohort
study. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. Studies using bariatric surgery other than gastric bypass, LAGB, or SG, have small sample sizes. Results from a meta-analysis including individuals using other procedures have shown significant improvements in BMI reduction, fasting blood insulin, and total cholesterol, although the estimates have wide confidence intervals, limiting interpretation. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

**Preadolescent Children with Class III Obesity**

For individuals who are preadolescent children with class III obesity who receive bariatric surgery, there are no studies focused on this population. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. Several studies of bariatric surgery in adolescents have also included children younger than 12 years old. A recent (2021) cohort study included 801 children ages 5 to 14 years in their total cohort of children and adolescents, and excess weight loss and comorbidity resolution were substantial and long-lasting without safety concerns across all age groups. However, comparative studies are still lacking. The evidence is insufficient to determine that the technology results in an improvement in the net health outcome.

**Hiatal Hernia Repair with Bariatric Surgery**

For individuals with class III obesity and a preoperative diagnosis of a hiatal hernia who receive hiatal hernia repair with bariatric surgery, the evidence includes a systematic review, cohort studies and case series. Relevant outcomes are overall survival, change in disease status, functional outcomes, health status measures, quality of life, and treatment-related mortality and morbidity. A systematic review found that hiatal hernia repair during SG was superior to SG alone for GERD remission, but not de novo GERD. Results from the cohort studies and case series have shown that, when a preoperative diagnosis of a hiatal hernia has been present, repairing the hiatal hernia during bariatric surgery resulted in fewer complications. However, the results are limited to individuals with a preoperative diagnosis. There was no evidence on the use of hiatal hernia repair when the hiatal hernia diagnosis is incidental. The evidence is sufficient to determine that the technology results in an improvement in the net health outcome.
Ongoing and Unpublished Clinical Trials

Some currently ongoing and unpublished trials that might influence this review are listed in Table 2.

Table 2. Summary of Key Trials

<table>
<thead>
<tr>
<th>NCT No.</th>
<th>Trial Name</th>
<th>Planned Enrollment</th>
<th>Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ongoing</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NCT01172899</td>
<td>The BASIC Trial. Morbid Obesity in Children and Adolescents: a Prospective Randomised Trial of Conservative Treatment Versus Surgery</td>
<td>60</td>
<td>Dec 2022 (active, not recruiting)</td>
</tr>
<tr>
<td>NCT02390973</td>
<td>Surgery Versus Best Medical Management for the Long Term Remission of Type 2 Diabetes and Related Diseases (REMISSION)</td>
<td>408</td>
<td>Mar 2024 (recruiting)</td>
</tr>
<tr>
<td>NCT04174768</td>
<td>The Effect of Bariatric Surgery on Glucose Metabolism and Kidney Function</td>
<td>50</td>
<td>Nov 2021 (unknown)</td>
</tr>
<tr>
<td>NCT03891056</td>
<td>Metabolic Surgery for Patients with Type 2 DM and Grade 1 Obesity with Bad Metabolic Control (MSO1CT)</td>
<td>40</td>
<td>Jan 2022 (recruiting)</td>
</tr>
<tr>
<td>NCT02310178</td>
<td>Obesity Cohort: Medical Follow-Up of Severe or Morbid Obese Patients Undergoing Bariatric Surgery</td>
<td>750</td>
<td>May 2022 (recruiting)</td>
</tr>
<tr>
<td>NCT02328599</td>
<td>A Prospective Consortium Evaluating the Long-term Follow-up of Patients With Type 2 Diabetes Enrolled In a Randomized Controlled Trial Comparing Bariatric Surgery Versus Medical Management (ARMMS-T2D)</td>
<td>302</td>
<td>Jun 2024 (enrolling by invitation)</td>
</tr>
<tr>
<td>NCT04583683</td>
<td>Effects of Very Low Calorie Diet vs Metabolic Surgery on Weight Loss and Obesity Comorbidities: a Randomized Controlled Trial</td>
<td>218</td>
<td>Sep 2022 (active, not recruiting)</td>
</tr>
<tr>
<td>NCT03610256</td>
<td>Prospective Multicentric Randomized Trial Comparing the Efficacy and Safety of Single-anastomosis Duodeno Ileal Bypass With Sleeve Gastrectomy (SADI-S) Versus Roux-en-Y Gastric Bypass (RYGB) (SADISLEEVE)</td>
<td>382</td>
<td>Oct 2023 (active, not recruiting)</td>
</tr>
<tr>
<td>NCT No.</td>
<td>Trial Name</td>
<td>Planned Enrollment</td>
<td>Completion Date</td>
</tr>
<tr>
<td>-----------</td>
<td>-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
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<td>--------------------------</td>
</tr>
<tr>
<td>NCT03517072</td>
<td>Determinants of the Long-Term Success of Bariatric Surgery</td>
<td>1000</td>
<td>Jan 2023 (unknown)</td>
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<tr>
<td>NCT03472157</td>
<td>Prospective Multicentric, Open Label, Randomized Clinical Trial of Superiority, With Two Arms, Comparing Bariatric Surgery to the Recommended Medical Treatment for NASH (NASHSURG)</td>
<td>100</td>
<td>Mar 2023 (recruiting)</td>
</tr>
<tr>
<td>NCT04506190</td>
<td>A Prospective Multicenter Study to Evaluate the Perioperative Outcomes of Laparoscopic and Robotic-Assisted Revisional Bariatric Surgery</td>
<td>100</td>
<td>Mar 2023 (active, not recruiting)</td>
</tr>
<tr>
<td>NCT04128995</td>
<td>Surgical or Medical Treatment for Pediatric Type 2 Diabetes</td>
<td>100</td>
<td>Sept 2025 (recruiting)</td>
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<tr>
<td>NCT03236142</td>
<td>The Single, 300 cm Loop, Duodenal Switch (SIPS) Results in Less Nutritional Deficiencies Than the Standard Duodenal Switch (DS) Operation: A Multicenter, Randomized Controlled Trial</td>
<td>110</td>
<td>Jan 2025 (recruiting)</td>
</tr>
<tr>
<td>NCT02692469</td>
<td>Laparoscopic Single Anastomosis Duodenal-Jejunal Bypass with Sleeve Gastrectomy vs Laparoscopic Duodenal Switch as a Primary Bariatric Procedure. 5 Year Patient Follow</td>
<td>140</td>
<td>Apr 2026 (not yet recruiting)</td>
</tr>
<tr>
<td>NCT04165694</td>
<td>Single Anastomosis Duodenal Ileal Bypass (SADI) as a Second Stage for Sleeve Gastrectomy Weight Loss Failure</td>
<td>54</td>
<td>Dec 2030 (active, not recruiting)</td>
</tr>
</tbody>
</table>

### Unpublished

<table>
<thead>
<tr>
<th>NCT No.</th>
<th>Trial Name</th>
<th>Planned Enrollment</th>
<th>Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>NCT02881684a</td>
<td>Weight Reduction by Aspiration Therapy in Asian Patients with Morbid Obesity</td>
<td>15</td>
<td>Dec 2018 (unknown)</td>
</tr>
<tr>
<td>NCT02142257</td>
<td>Gastric Bypass Procedure and AspireAssist Aspiration Therapy System for the Treatment of Morbid Obesity, Observational Study over 5 Years</td>
<td>100</td>
<td>May 2020 (unknown)</td>
</tr>
<tr>
<td>NCT03493620</td>
<td>Multicenter Randomized Prospective Study With Sham Group to Evaluate the Efficacy and Results of Endoscopic Gastroplasty Using Overstitch in Patients With Class I and II Obesity</td>
<td>60</td>
<td>Aug 2020 (unknown)</td>
</tr>
<tr>
<td>NCT03102697</td>
<td>Optimization and Follow-Up of the Consecutive Use of Two Intragastric Balloons in the Treatment of Obesity</td>
<td>30</td>
<td>Dec 2020 (completed)</td>
</tr>
</tbody>
</table>

NCT: national clinical trial.

*a* Denotes industry-sponsored or cosponsored trial.
Practice Guidelines and Position Statements

The purpose of the following information is to provide reference material. Inclusion does not imply endorsement or alignment with the policy conclusions.

Guidelines or position statements will be considered for inclusion if they were issued by, or jointly by, a US professional society, an international society with US representation, or National Institute for Health and Care Excellence (NICE). Priority will be given to guidelines that are informed by a systematic review, include strength of evidence ratings, and include a description of management of conflict of interest.

American Association of Clinical Endocrinologists et al

In 2020, the American Association of Clinical Endocrinologists (AACE) and the American College of Endocrinology (ACE) jointly published a comprehensive diabetes type 2 management algorithm. Updates were made in 2022 and recommendations for bariatric surgery are presented in Table 3.

Table 3. Recommendations for Bariatric Surgery in Diabetes

<table>
<thead>
<tr>
<th>Recommendation</th>
<th>GOE</th>
<th>BEL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Persons with a BMI 35 kg/m2 and 1 or more severe obesity-related complications remediable by weight loss, including T2D, high risk for T2D (insulin resistance, prediabetes, and/or metabolic syndrome), poorly controlled hypertension, NAFLD/NASH, OSA, osteoarthritis of the knee or hip, and urinary stress incontinence, should be considered for a bariatric procedure</td>
<td>C</td>
<td>3</td>
</tr>
<tr>
<td>Persons with BMI 30 to 34.9 kg/m2 and T2D with inadequate glycemic control despite optimal lifestyle and medical therapy should be considered for a bariatric procedure</td>
<td>B</td>
<td>2</td>
</tr>
</tbody>
</table>

BEL: best evidence level; BMI: body mass index; GOE: grade of evidence; NAFLD: nonalcoholic fatty liver disease; NASH: nonalcoholic steatohepatitis; OSA: obstructive sleep apnea; T2D: type 2 diabetes.

In 2016, the AACE and the American College of Endocrinology jointly published comprehensive clinical guidelines on the medical care of patients with obesity. The guidelines addressed 9
broad clinical questions with 123 recommendations. With regard to bariatric surgery, the following recommendations were added (See Table 4).

Table 4. Recommendations for Bariatric Surgery Added in 2016

<table>
<thead>
<tr>
<th>No.</th>
<th>Recommendation</th>
<th>GOE</th>
<th>BEL</th>
</tr>
</thead>
</table>
| 35  | Patients with obesity (BMI ≥30 kg/m²) and diabetes who have failed to achieve targeted clinical outcomes following treatment with lifestyle therapy and weight-loss medications may be considered for bariatric surgery, preferably Roux-en-Y gastric bypass, sleeve gastrectomy, or biliopancreatic diversion.  

| 121 | Patients with a BMI of ≥35 kg/m² and 1 or more severe obesity-related complications, including type 2 diabetes, hypertension, obstructive sleep apnea, obesity-hypoventilation syndrome, Pickwickian syndrome, nonalcoholic fatty liver disease or nonalcoholic steatohepatitis, pseudotumor cerebri, gastroesophageal reflux disease, asthma, venous stasis disease, severe urinary incontinence, debilitating arthritis, or considerably impaired quality of life may also be considered for a bariatric surgery procedure. Patients with BMI of 30 to 34.9 kg/m² with diabetes or metabolic syndrome may also be considered for a bariatric procedure, although current evidence is limited by the number of patients studied and lack of long-term data demonstrating net benefit.  

- BMI ≥35 kg/m² and therapeutic target of weight control and improved biochemical markers of CVD risk.  
- BMI ≥30 kg/m² and therapeutic target of weight control and improved biochemical markers of CVD risk.  
- BMI ≥30 kg/m² and therapeutic target of glycemic control in type 2 diabetes and improved biochemical markers of CVD risk.  

| 122 | "Independent of BMI criteria, there is insufficient evidence for recommending a bariatric surgical procedure specifically for glycemic control alone, lipid lowering alone, or CVD risk reduction alone."  

| 62  | "Roux-en-Y gastric bypass should be considered as the bariatric surgery procedure of choice for patients with obesity and moderate to severe gastroesophageal reflux symptoms, hiatal hernia, esophagitis, or Barrett’s esophagus."  
- Intragastric balloon for weight loss may increase gastroesophageal reflux symptoms and should not be used for weight loss in patients with established gastroesophageal reflux.  


a Downgraded due to study limitations.
In 2019, an update of the joint 2013 guidelines on support for bariatric surgery individuals were published by the AACE, the Obesity Society, the American Society for Metabolic and Bariatric Surgery (ASMBS), Obesity Medicine Association, and the American Society of Anesthesiologists. Recommendations on the following questions are summarized below.

“Which patients should be offered bariatric surgery?”

- “Patients with a BMI $\geq 40$ kg/m$^2$ without coexisting medical problems and for whom bariatric surgery would not be associated with excessive risk should be eligible for a bariatric procedure.”

- “Patients with a BMI $\geq 35$ kg/m$^2$ and 1 or more severe obesity-related complications remediable by weight loss, including T2D (type 2 diabetes), high risk for T2D, poorly controlled hypertension, nonalcoholic fatty liver disease/nonalcoholic steatohepatitis, OSA, osteoarthritis of the knee or hip, and urinary stress incontinence, should be considered for a bariatric procedure.”

- “Patients with the following comorbidities and BMI $\geq 35$ kg/m$^2$ may also be considered for a bariatric procedure, though the strength of evidence is more variable; obesity-hypoventilation syndrome and Pickwickian syndrome after a careful evaluation of operative risk; idiopathic intracranial hypertension; GERD; severe venous stasis disease; impaired mobility due to obesity, and considerably impaired quality of life.”

- “Patients with BMI of 30-34.9 kg/m$^2$ with T2D with inadequate glycemic control despite optimal lifestyle and medical therapy should be considered for a bariatric procedure; current evidence is insufficient to support recommending a bariatric procedure in the absence of obesity.”

- “The BMI criterion for bariatric procedures should be adjusted for ethnicity (e.g., 18.5 to 22.9 kg/m$^2$ is normal range, 23 to 24.9 kg/m$^2$ overweight, and $\geq 25$ kg/m$^2$ obesity for Asians).”

- “Bariatric procedures should be considered to achieve optimal outcomes regarding health and quality of life when the amount of weight loss needed to prevent or treat clinically significant obesity-related complications cannot be obtained using only structured lifestyle change with medical therapy.”

“Which bariatric surgical procedure should be offered?”
• “Selecting a bariatric procedure should be based on individualized goals of therapy (e.g., weight loss target and/or improvement in specific obesity-related complications), available local-regional expertise (obesity specialists, bariatric surgeon, and institution), patient preferences, personalized risk stratification, and other nuances as they become apparent. Notwithstanding technical surgical reasons, laparoscopic bariatric procedures should be preferred over open bariatric procedures due to lower early postoperative morbidity and mortality. Laparoscopic adjustable gastric banding, sleeve gastrectomy, RYGB, and laparoscopic biliopancreatic diversion with duodenal switch (LBPD/DS), or related procedures should be considered as primary bariatric and metabolic procedures performed in patients requiring weight loss and/or amelioration of obesity-related complications. Physicians must exercise caution when recommending BPD, BPD with duodenal switch, or related procedures because of the greater associated nutritional risks related to the increased length of bypassed small intestine. Newer nonsurgical bariatric procedures may be considered for selected patients who are expected to benefit from short-term (i.e., about 6 months) intervention with ongoing and durable structured lifestyle with/without medical therapy.”

American College of Cardiology et al

In 2013, the American College of Cardiology (ACC), American Heart Association (AHA), and the Obesity Society published joint guidelines on the management of obesity and overweight in adults.169 The guidelines made the following recommendations related to bariatric surgery:

• “Advise adults with a BMI \( \geq 40\, \text{kg/m}^2 \) or BMI \( \geq 35\, \text{kg/m}^2 \) with obesity-related comorbid conditions who are motivated to lose weight and who have not responded to behavioral treatment with or without pharmacotherapy with sufficient weight loss to achieve targeted health outcome goals that bariatric surgery may be an appropriate option to improve health and offer referral to an experienced bariatric surgeon for consultation and evaluation. National Heart, Lung, and Blood Institute (NHLBI) Grade A (Strong); AHA/ACC COR [class of recommendation]: Ila; AHA/ACC LOE [level of evidence]: A”

• “For individuals with a BMI <35 kg/m\(^2\), there is insufficient evidence to recommend for or against undergoing bariatric surgical procedures. NHLBI Grade N (No Recommendation)”
American Society for Metabolic and Bariatric Surgery

In 2016, the American Society for Metabolic and Bariatric Surgery (ASBMS) published a position statement on intragastric balloon therapy (the statement was also endorsed by the Society of American Gastrointestinal and Endoscopic Surgeons [SAGES]). The statement did not include specific recommendations for or against using these devices. A summary of key recommendations is as follows:

- There is level 1 data from RCTs [randomized controlled trials] on the “efficacy [and] safety of intragastric balloon therapy for obesity...[and] lower-level evidence [suggesting] that weight loss can be maintained...for some finite time into the future.”

- It is difficult to separate the effect from the intragastric “balloon alone from those of supervised diet and lifestyle changes...” This has been addressed in recent FDA [U.S. Food and Drug Administration] pivotal trials. “In general, any obesity treatment, including intragastric balloon therapy, would benefit from a multidisciplinary team...”

- “...serious complications are rare. Early postoperative tolerance challenges...can be managed with pharmacotherapy in the majority of patients...”

In 2017, the ASMBS published a position statement on sleeve gastrectomy. This updated statement provided the following conclusions:

- “Substantial long-term outcome data published in the peer-reviewed literature, including studies comparing outcomes of various surgical procedures, confirm that SG [sleeve gastrectomy] provides significant and durable weight loss, improvements in medical comorbidities, improved quality of life, and low complication and mortality rates for obesity treatment.”

- “In terms of initial early weight loss and improvement of most weight-related comorbid conditions, SG and RYGB appear similar. The effect of SG on GERD, however, is less clear, because GERD improvement is less predictable and GERD may worsen or develop de novo.”

- The ASMBS recognizes SG as an acceptable option for a primary bariatric procedure or as a first-stage procedure in high-risk patients as part of a planned staged approach.”

Surgeons performing SG are encouraged to continue to prospectively collect and report outcome data in the peer-reviewed scientific literature.
In 2018, the ASMBS and the American Hernia Society published a consensus guideline on bariatric surgery and hernia surgery. The guideline contained the following conclusions and summary recommendations:

- "There is a significant link between obesity and hernia formation both after abdominal surgery and de novo. There is also evidence that abdominal wall hernia can more commonly present with obstruction or strangulation in patients with obesity."
- "There is a higher risk for complications and recurrence after hernia repair in patients with obesity."
- "In patients with severe obesity and ventral hernia, and both being amenable to laparoscopic repair, combined hernia repair and metabolic/bariatric surgery may be safe and associated with good short-term outcomes and low risk of infection. There is a relative lack of evidence, however, about the use of synthetic mesh in this setting."
- "In patients with severe obesity and abdominal wall hernia that is not amenable to laparoscopic repair, a staged approach is recommended. Weight loss prior to hernia repair is likely to improve hernia repair outcomes. Metabolic/bariatric surgery appears to provide far more significant and rapid weight loss than other modalities and would be a good option for selected patients with severe obesity and large, symptomatic abdominal wall hernia."

In 2020, ASMBS published an updated statement on single-anastomosis duodenal switch (SADI-S) “in response to numerous inquiries made...by patients, physicians, society members, hospitals, and others regarding [this procedure] as a treatment for obesity and metabolic diseases.” The following recommendations were endorsed regarding SADI-S for the primary treatment of obesity or metabolic disease:

- "SADI-S, a modification of classic Roux-en-Y duodenal switch, is an appropriate metabolic bariatric surgical procedure."
- "Publication of long-term safety and efficacy outcomes is still needed and is strongly encouraged, particularly with published details on sleeve gastrectomy size and common channel length."
- "There remain concerns about intestinal adaptation, nutritional issues, optimal limb lengths, and long-term weight loss/regain after this procedure. As such, ASMBS recommends a cautious approach to the adoption of this procedure, with attention to ASMBS-published guidelines on nutritional and metabolic support of bariatric patients, in particular for duodenal switch patients."
In 2022, ASMBS, along with the International Federation for the Surgery of Obesity and Metabolic Disorders (IFSO), updated their guideline on indications for metabolic and bariatric surgery. Historically, class III obesity was the threshold for bariatric surgery; however, ASMBS now recommends metabolic and bariatric surgery in individuals with a BMI greater than or equal to 35 kg/m², regardless of the presence, absence, or severity of comorbidities. Studies referenced by the guideline to support this recommendation generally demonstrated weight loss and remission in both T2D and hypertension in the bariatric surgery groups compared to the nonsurgical groups. However, there were no subgroup analyses performed on individuals without metabolic disorders, so it is difficult to determine if this benefit extends to all patient populations with BMI greater than or equal to 35 kg/m², regardless of the presence, absence, or severity of comorbidities. Additionally, only 1 systematic review referenced by the guidelines included RCTs, and heterogeneity of these RCTs was considered high; all other trials referenced were nonrandomized.

The ASMBS/IFSO guideline also states that metabolic and bariatric surgery can be considered for individuals with metabolic disease and class I obesity, defined as BMI of 30 to 34.9 kg/m², who do not achieve substantial or durable weight loss or comorbidity improvement with nonsurgical methods. Additionally, they state that BMI thresholds should be adjusted in the Asian population, as the prevalence of diabetes and cardiovascular disease is higher at a lower BMI than in the non-Asian population. Thus, a BMI greater than or equal to 25 kg/m² suggests clinical obesity, and individuals with BMI greater than or equal to 27.5 kg/m² should be offered bariatric surgery.

Importantly, these recommendation from the 2022 ASMBS/IFSO guideline do not appear to be informed by a separately conducted systematic review, include strength of evidence ratings, or include a description of management of conflict of interest.

**Society of American Gastrointestinal and Endoscopic Surgeons**

In 2013, the Society of American Gastrointestinal and Endoscopic Surgeons issued evidence-based guidelines on the management of hiatal hernia, which included a recommendation about the repair of hiatal hernias incidentally detected at the time of bariatric surgery. These guidelines stated: “During operations for Roux-en-Y gastric bypass, sleeve gastrectomy and the placement of adjustable gastric bands, all detected hiatal hernias should be repaired” (moderate quality evidence, weak recommendation).
International Federation for the Surgery of Obesity and Metabolic Disorders

In 2019, members of societies affiliated with the International Federation for the Surgery of Obesity and Metabolic Disorders established an expert consensus statement on revisional bariatric surgery (RBS). Consensus agreement was established for the following recommendation statements:

- "RYGB is an acceptable RBS option after gastric banding."
- "OAGB is an acceptable RBS option after gastric banding."
- "SADI-S is an acceptable RBS option after gastric banding."
- "RBS after gastric banding can be carried out in either 1 or 2-stage."
- "OAGB is an acceptable RBS option after SG."
- "BPD-DS is an acceptable RBS option after SG."
- "SADI-S is an acceptable RBS option after SG."
- "Prolongation of bilio-pancreatic limb is an acceptable RBS option after RYGB."
- "Prolongation of bilio-pancreatic limb is an acceptable RBS option after OAGB."


In 2020, members of societies affiliated with the International Federation for the Surgery of Obesity and Metabolic Disorders established a position statement on Single Anastomosis Duodenal-Ileal Bypass with Sleeve Gastrectomy/One Anastomosis Duodenal Switch (SADI-S/OADS). The following recommendations were made based on available data:

- "SADI-S/OADS offers substantial weight loss that is maintained into the medium term."
- "SADI-S/OADS provides an improvement in metabolic health that is maintained into the medium term."
• “Nutritional deficiencies are emerging as long-term safety concerns for the SADI-S/OADS
procedure and patients undergoing this procedure need to be aware of this, and counseled
to stay in long-term multidisciplinary care.”

• “Surgeons performing the SADI-/OADS, as well as other bariatric/metabolic procedures, are
encouraged to participate in a national or international registry so that data may be more
effectively identified.”

• “IFSO supports the SADI-S/OADS as a recognized bariatric/metabolic procedure, but highly
encourages RCT’s in the near future.”

Guidelines for Children and Adolescents

Childerhose et al (2017) conducted a systematic review of adolescent bariatric surgery
recommendation documents published in the United States and provided recommendations
based on their review.177 The literature search was conducted from 1999 through 2013 and
identified 16 recommendations for inclusion: 10 clinical practice guidelines, 4 position
statements, and 2 consensus statements. Fifteen of the 16 publications recommended bariatric
surgery for adolescents. The main reasons for recommending bariatric surgery for adolescents
included: (1) surgery is effective in producing short- and long-term weight loss; (2) surgery is
appropriate when the patient does not respond to behavioral or medical interventions; (3)
surgery is appropriate when serious comorbidities threaten the health of the patient; and (4)
surgery can improve long-term health and/or emotional problems. Body mass index thresholds
ranged from 35 kg/m² or more to 50 kg/m² or more, with lower thresholds usually requiring the
presence of at least 1 serious comorbidity. The minimum age was specified in 10 publications,
with most using physiologic maturity (Tanner stage IV and/or 95% of adult height based on
bone age, corresponding to ≥13 years for females and to ≥15 years for males) rather than years.

American Academy of Pediatrics

In 2019, the American Academy of Pediatrics (AAP) published a report outlining the current
evidence regarding adolescent bariatric surgery that provided recommendations for
practitioners and policy makers.178 Within this report, AAP listed indications for adolescent
metabolic and bariatric surgery (Table 5) that reflected 2018 ASMBS recommendations.
Additionally, the AAP report noted that generally accepted contraindications to bariatric surgery
included: "a medically correctable cause of obesity, untreated or poorly controlled substance abuse, concurrent or planned pregnancy, current eating disorder, or inability to adhere to postoperative recommendations and mandatory lifestyle changes."

In 2023, the AAP published their first evidence-based clinical practice guideline for the evaluation and treatment of children and adolescents (ages 2 to 18 years) with obesity.\textsuperscript{179} The recommendations put forth in the guideline are based on evidence from RCTs and comparative effectiveness trials, along with high-quality longitudinal and epidemiologic studies gathered in a systematic review process described in their methodology. The AAP’s recommendation related to bariatric surgery is below:

- "Pediatricians and other PHCPs [pediatric health care providers] should offer referral for adolescents 13 years and older with severe obesity (BMI ≥ 120% of the 95th percentile for age and sex) for evaluation for metabolic and bariatric surgery to local or regional comprehensive multidisciplinary pediatric metabolic and bariatric surgery centers (Grade C Evidence Quality)."

They list indications for adolescent metabolic and bariatric surgery (\textit{Table 5}) that align with the 2019 indications.

\textbf{Table 5. Indications for Adolescent Metabolic and Bariatric Surgery}

<table>
<thead>
<tr>
<th>Weight Criteria</th>
<th>Comorbid Conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class 2 obesity; BMI ≥35, or 120% of the 95th percentile for age and sex, whichever is lower</td>
<td>Clinically significant disease, including, but not limited to, OSA (AHI &gt;5), T2D, IIH, NASH, Blount disease, SCFE, GERD, depressed health-related quality of life, and hypertension</td>
</tr>
<tr>
<td>Class 3 obesity; BMI ≥40, or 140% of the 95th percentile for age and sex, whichever is lower</td>
<td>Not required but commonly present</td>
</tr>
</tbody>
</table>

AHI: apnea-hypopnea index; BMI: body mass index; GERD: gastroesophageal reflux disease; IIH: idiopathic intracranial hypertension; NASH: non-alcoholic steatohepatitis; OSA: obstructive sleep apnea; SCFE: slipped capital femoral epiphysis; T2D: type 2 diabetes.
American Society for Metabolic and Bariatric Surgery

In 2012, the American Society for Metabolic and Bariatric Surgery (ASMBS) best practice guidelines found that current evidence was insufficient to discriminate among specific bariatric procedures, but allowed that there was an increasing body of data showing safety and efficacy of Roux-en-Y gastric bypass and adjustable gastric band for the pediatric population. Bariatric surgery was recommended for pediatric patients with morbid obesity and the following comorbidities:

Strong indications:

- Type 2 diabetes mellitus
- Moderate or severe obstructive sleep apnea (apnea-hypopnea index >15)
- Nonalcoholic steatohepatitis
- Pseudotumor cerebri

Less strong indications:

- Cardiovascular disease
- Metabolic syndrome

The guidelines stated that depression and eating disorders should not be considered exclusion criteria for bariatric surgery. The guidelines also noted that depression should be monitored following the procedure and that eating disorders should be treated and the patient stabilized prior to the procedure.

In 2018, the ASBMS published an update to the 2012 guideline. Summary of major changes in the guideline included:

- "Vertical sleeve gastrectomy has become the most used and most recommended operation in adolescents with severe obesity for several reasons, near-equivalent weight loss to RYGB in adolescents, fewer reoperations, better iron absorption, and near-equivalent effect on comorbidities as RYGB in adolescents. However, given the more extensive long-term data available for RYGB, we can recommend the use of either RYGB or VSG in adolescents. Long-term outcomes of GERD after vertical sleeve gastrectomy are still not well understood."
• “There are no data that the number of preoperative weight loss attempts correlated with success after metabolic/bariatric surgery. Compliance with a multidisciplinary preoperative program may improve outcomes after metabolic/bariatric surgery but prior attempts at weight loss should be removed as a barrier to definitive treatment for obesity.”

• “The use of the most up to date definitions of childhood obesity are as follows: (1) BMI cut offs of 35 kg/m² or 120% of the 95th percentile with a comorbidity, or (2) BMI >40 kg/m² or 140% of the 95th percentile without a comorbidity (whichever is less). Requiring adolescents with a BMI >40 to have a comorbidity (as in the old guidelines) puts children at a significant disadvantage to attaining a healthy weight. Earlier surgical intervention (at a BMI <45 kg/m²) can allow adolescents to reach a normal weight and avoid lifelong medication therapy and end organ damage from comorbidities.”

• “Certain comorbidities should be considered in adolescents, specifically the psychosocial burden of obesity, the orthopedic diseases specific to children, GERD, and cardiac risk factors. Given the poor outcomes of medical therapies for T2D in children, these comorbidities may be considered an indication for metabolic/bariatric surgery in younger adolescents or those with lower obesity percentiles.”

• “Vitamin B deficiencies, especially B1 appear to be more common in adolescents both preoperatively and postoperatively; they should be screened for and treated. Prophylactic B1 for the first 6 months postoperatively is recommended as is education of patients and primary care providers on the signs and symptoms of common deficiencies.”

• “Developmental delay, autism spectrum, or syndromic obesity should not be a contraindication to metabolic/bariatric surgery. Each patient and caregiver team will need to be assessed for the ability to make dietary and lifestyle changes required for surgery. Multidisciplinary teams should agree on the specific needs and abilities of the given patient and caregiver and these should be considered on a case-by-case basis with the assistance of the hospital ethics committee where appropriate.”

• “Because metabolic/bariatric surgery results in better weight loss and resolution of comorbidities in adolescents at lower BMI’s with fewer comorbidities, referrals should occur early, as soon as a child is recognized to suffer from severe obesity disease (BMI >120% of the 95th percentile or BMI of 35). Prior weight loss attempts, Tanner stage, and bone age should not be considered when referring patients to a metabolic/bariatric surgery program.”

• “Unstable family environments, eating disorders, mental illness, or prior trauma should not be considered contraindications for metabolic/bariatric surgery in adolescents; however,
these should be optimized and treated where possible before and surrounding any surgical intervention for obesity."

In 2022, the ASMBS updated their guideline on indications for metabolic and bariatric surgery. They noted that prospective data demonstrated durable weight loss and maintained co-morbidity remission in patients as young as 5 years of age. Additionally, the ASMBS stated that metabolic and bariatric surgery do not negatively impact pubertal development or linear growth, and therefore a specific Tanner stage and bone age should not be considered a requirement for surgery. Other statements supported 2018 recommendations, including that syndromic obesity, developmental delay, autism spectrum, or a history of trauma would not be considered a contraindication to bariatric surgery in children or adolescents.

**Endocrine Society**

In 2008, the Endocrine Society published recommendations on the prevention and treatment of pediatric obesity. In 2017, the Society sponsored an update of these guidelines by the Pediatric Endocrine Society and the European Society of Endocrinology. These guidelines recommended the following:

“We suggest that bariatric surgery be considered only under the following conditions:

- The child has attained Tanner 4 or 5 pubertal development and final or near-final adult height
- The child has a BMI > 40 kg/m² or has BMI above 35 kg/m² and significant, extreme comorbidities
- Extreme obesity and comorbidities persist, despite compliance with a formal program of lifestyle modification, with or without a trial of pharmacotherapy.
- Psychological evaluation confirms the stability and competence of the family unit.
- There is access to an experienced surgeon in a pediatric bariatric surgery center of excellence that provides the necessary infrastructure for patient care, including a team capable of long-term follow-up of the metabolic and psychosocial needs of the patient and family.
• The patient demonstrates the ability to adhere to the principles of healthy dietary and activity habits.

We recommend against bariatric surgery for preadolescent children, for pregnant or breast-feeding adolescents (and those planning to become pregnant within 2 yrs. of surgery) and in any patient who has not mastered the principles of healthy dietary and activity habits and/or has an unresolved substance abuse, eating disorder, or untreated psychiatric disorder.”

**U.S. Preventive Services Task Force Recommendations**

Bariatric surgery is not considered a preventive service.

**Medicare National Coverage**

The Centers for Medicare & Medicaid Services have published a national coverage decision on bariatric surgery. The Centers determined that:

“...the evidence is adequate to conclude that open and laparoscopic Roux-en-Y gastric bypass (RYGBP), laparoscopic adjustable gastric banding (LAGB), and open and laparoscopic biliopancreatic diversion with duodenal switch (BPD/DS), are reasonable and necessary for Medicare beneficiaries who have a body mass index (BMI) >35 kg/m², have at least one co-morbidity related to obesity, and have been previously unsuccessful with medical treatment for obesity.”

**Regulatory Status**

Forms of bariatric surgery performed without specific implantable devices are surgical procedures and, as such, are not subject to regulation by the FDA.

*Table 6* shows forms of bariatric surgery with implantable devices approved by the FDA through the premarket approval process.
Table 6. FDA-Approved Bariatric Surgery Devices

<table>
<thead>
<tr>
<th>Device</th>
<th>Manufacturer</th>
<th>PMA Date</th>
<th>Labeled Indications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Obalon intragastric balloon system</td>
<td>Obalon Therapeutics, Inc.</td>
<td>Sept 2016</td>
<td>For use in obese adults (BMI, 30 to 40 kg/m²) who have failed weight reduction with diet and exercise, and have no contraindications. Maximum placement time is 6 mo. Balloon is encased in a capsule. The capsule is swallowed and begins to dissolve after exposure to fluids in the stomach. After verification of capsule placement in the stomach, the balloon is filled with a gas mixture. Up to 3 balloons can be used during the 6 mo treatment period.</td>
</tr>
<tr>
<td>AspireAssist System</td>
<td>Aspire Bariatrics</td>
<td>Jun 2016</td>
<td>For long-term use in conjunction with lifestyle therapy and continuous medical monitoring in obese adults &gt;22 yo, with a BMI of 35.0 to 55.0 kg/m² and no contraindications to the procedure who have failed to achieve and maintain weight loss with nonsurgical weight loss therapy</td>
</tr>
<tr>
<td>ORBERA intragastric balloon system</td>
<td>Apollo Endosurgery</td>
<td>Aug 2015</td>
<td>For use in obese adults (BMI, 30–40 kg/m²) who have failed weight reduction with diet and exercise, and have no contraindications. Maximum placement time is 6 mo. Balloon placed endoscopically and inflated with saline.</td>
</tr>
<tr>
<td>LAP-BAND Adjustable Gastric Banding System</td>
<td>Apollo Endosurgery (original applicant: Allergan)</td>
<td>Apr 2010</td>
<td>For use in weight reduction for severely obese adults with BMI of at least 40 kg/m² or a BMI of at least 30 kg/m² with ≥1 severe comorbid conditions who have failed more conservative weight-reduction alternatives (e.g., supervised diet, exercise, behavior modification programs).</td>
</tr>
<tr>
<td>REALIZE Adjustable Gastric Band</td>
<td>Ethicon Endosurgery</td>
<td>Nov 2007</td>
<td>For use in weight reduction for morbidly obese patients and for individuals with BMI of at least 40 kg/m², or a BMI of at least 35 kg/m² with ≥1 comorbid conditions, or those who are ≥45.4 kg over their estimated ideal weight. Indicated for use only in morbidly obese adults who have failed more conservative weight-reduction alternatives (e.g., supervised diet, exercise, behavior modification programs).</td>
</tr>
</tbody>
</table>

BMI: body mass index; FDA: U.S. Food and Drug Administration; PMA: premarket approval.

In February 2017, the FDA issued a letter to health care providers discussing the potential risks with liquid-filled intragastric balloons in response to reports of two types of adverse events related to the balloons. Several dozen reports concerned spontaneous overinflation of the balloons, which caused pain, swelling, and vomiting. The second set of adverse event reports
indicated that acute pancreatitis developed in several patients due to compression of gastrointestinal structures. These reports involved both ReShape (no longer marketed in the US) and ORBERA brands. The adverse events may require premature removal of the balloons.

In August 2017, the FDA issued a second letter to health care providers informing them of five unanticipated deaths occurring from 2016 through the time of the letter, due to intragastric balloons. The FDA recommended close monitoring of patients receiving these devices. In June 2018, the FDA reported that, since 2016, a total of 12 deaths occurred in patients with liquid-filled intragastric balloons worldwide; seven of these deaths were in patients in the U.S.

In April 2020, the FDA provided an update on risks and continued to recommend that healthcare providers "instruct patients about the symptoms of life-threatening complications such as balloon deflation, gastrointestinal obstruction, and gastric and esophageal perforation and monitor patients closely during the entire duration of treatment for potential complications, including acute pancreatitis, spontaneous hyperinflation, and other potentially life-threatening complications."

References


73. Skogar ML, Sundbom M. Duodenal Switch Is Superior to Gastric Bypass in Patients with Super Obesity when Evaluated with the Bariatric Analysis and Reporting Outcome System (BAROS). Obes Surg. Sep 2017; 27(9): 2308-2316. PMID 28439748


75. Prachand VN, Davee RT, Alverdy JC. Duodenal switch provides superior weight loss in the super-obese (BMI > or =50 kg/m2) compared with gastric bypass. Ann Surg. Oct 2006;244(4):611-619. PMID 16998370


112. Noren E, Forssell H. Aspiration therapy for obesity; a safe and effective treatment. BMC Obes. 2016; 3: 56. PMID 28035287


144. Blue Cross Blue Shield Association Technology Evaluation Center (TEC). Laparoscopic adjustable gastric banding in patients with body mass index less than 35 kg/m² with weight-related comorbidity. TEC Assessments. 2012;Volume 27:Tab 3.


## History

<table>
<thead>
<tr>
<th>Date</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>05/05/97</td>
<td>Add to Surgery Section - New Policy</td>
</tr>
<tr>
<td>09/21/00</td>
<td>Replace Policy - Policy updated to include expanded discussion of biliopancreatic bypass and gastric banding. Policy statement unchanged.</td>
</tr>
<tr>
<td>06/19/02</td>
<td>Replace Policy - Policy revised to include mini-gastric bypass.</td>
</tr>
<tr>
<td>04/09/02</td>
<td>Replace Policy - Policy revised to include further information on laparoscopic banding.</td>
</tr>
<tr>
<td>02/11/03</td>
<td>Replace Policy - Policy revised to include LAP-BAND Gastric Restrictive Procedure as medically necessary. Policy replaces CP.MP.BC.7.01.47.</td>
</tr>
<tr>
<td>10/16/03</td>
<td>Replace Policy - Policy revised; additional rationale language and references added.</td>
</tr>
<tr>
<td>01/13/04</td>
<td>Replace Policy - Scheduled review; HCPC code updated.</td>
</tr>
<tr>
<td>02/10/04</td>
<td>Replace Policy - Policy reviewed; language clarification in description and policy guidelines.</td>
</tr>
<tr>
<td>09/01/04</td>
<td>Replace Policy - Policy renumbered from PR.7.01.116. No changes to dates.</td>
</tr>
<tr>
<td>01/11/05</td>
<td>Replace Policy - Scheduled review; policy statement revised to add medically necessary and investigative procedures. Rationale and references updated.</td>
</tr>
<tr>
<td>07/18/05</td>
<td>Replace Policy - Disclaimer added to Description section only. No other changes.</td>
</tr>
<tr>
<td>Date</td>
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<tr>
<td>01/10/06</td>
<td>Replace Policy - Policy reviewed with literature search; policy statement unchanged. Title changed for clarification (old title: Surgery for Morbid Obesity).</td>
</tr>
<tr>
<td>03/29/06</td>
<td>Codes Updated - No other changes.</td>
</tr>
<tr>
<td>05/26/06</td>
<td>Codes Updated; Scope and Disclaimer Updated - No other changes.</td>
</tr>
<tr>
<td>06/30/06</td>
<td>Coded updated - No other changes.</td>
</tr>
<tr>
<td>10/10/06</td>
<td>Replace Policy - Policy updated with literature search; references added; policy statement expanded to indicate liver biopsy during morbid obesity surgery as not medically necessary.</td>
</tr>
<tr>
<td>11/14/06</td>
<td>Replace Policy - Clinical criteria regarding liver biopsy added to policy guidelines section; no other changes.</td>
</tr>
<tr>
<td>11/13/07</td>
<td>Replace Policy - Policy updated with literature search. Policy statement updated for clarification of conservative measures; to include Bariatric surgery in adolescents is considered investigational with criteria listed. Added “Reoperation” section with a note to see separate policy on surgery for abdominoplasty and panniculectomy skin. Policy description and guidelines were updated to support this change. References added.</td>
</tr>
<tr>
<td>01/15/08</td>
<td>Description Updated - To include “REALIZE Adjustable Gastric Band” as an FDA approved device. No other changes.</td>
</tr>
<tr>
<td>07/08/08</td>
<td>Replace bariatric patients under the age of 18 is considered investigational. “Prophylactic Cholecystectomy” was also added as a not medically necessary indication. References added.</td>
</tr>
<tr>
<td>10/14/08</td>
<td>Replace Policy - Policy updated with literature search. Policy statement updated to add “Biliopancreatic bypass with duodenal switch (CPT 43845) is considered medically necessary in the treatment of morbid obesity that has not responded to conservative measures such as supervised diet, exercise and behavior modification programs” under the Malabsorptive Procedures heading. References added.</td>
</tr>
<tr>
<td>01/13/09</td>
<td>Replace Policy - Policy updated with literature search; no change to the policy statement. Policy guidelines updated.</td>
</tr>
<tr>
<td>06/09/09</td>
<td>Code Update - Code 44.99 added.</td>
</tr>
<tr>
<td>10/13/09</td>
<td>Cross Reference Update - No other changes.</td>
</tr>
<tr>
<td>11/10/09</td>
<td>Replace Policy - Policy updated with literature search; no change to the policy statement. Rationale extensively updated on the sleeve gastrectomy procedure. Guidelines revised to eliminate the requirement of “at least once per month” medical visits during physician-supervised weight reduction program.</td>
</tr>
<tr>
<td>02/09/10</td>
<td>Code Update - New 2010 codes added.</td>
</tr>
<tr>
<td>05/11/10</td>
<td>Cross Reference Update - No other changes.</td>
</tr>
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<tr>
<td>11/09/10</td>
<td>Replace Policy - Policy updated with literature search. Policy statement updated to clarify that member needs to meet selection criteria in Guidelines before being considered for a medically necessary procedure. Bariatric surgery is considered not medically necessary for those members not meeting selection criteria. Endoscopic procedures, previously only addressed for weight gain after bariatric surgery, are now also considered investigational as a primary procedure. Rationale updated and references added.</td>
</tr>
<tr>
<td>05/10/11</td>
<td>Replace Policy - Policy updated with literature search and references added. Sleeve gastrectomy, previously considered investigational, may now be considered medically necessary.</td>
</tr>
<tr>
<td>05/22/12</td>
<td>Replace policy. References added: 81 - 83. No change in policy statements. Codes 44.38 and 44.39 added.</td>
</tr>
<tr>
<td>01/10/13</td>
<td>Coding update. CPT code 0155T removed from the policy; it was deleted effective 1/1/12.</td>
</tr>
<tr>
<td>03/15/13</td>
<td>Update title to Related Policy 7.01.523.</td>
</tr>
<tr>
<td>12/09/13</td>
<td>Policy extensively updated (now mirrors 7.01.47 which was not adopted). Title changed. Vertical banded gastroplasty previously considered medically necessary now considered not medically necessary. Added investigational policy statement for two stage procedures. Adolescent bariatric surgery previously considered investigational, now considered medically necessary. Prophylactic cholecystectomy policy statement removed. Codes updated; appendix removed.</td>
</tr>
<tr>
<td>05/08/14</td>
<td>Update Related Policies. Add 2.01.73.</td>
</tr>
<tr>
<td>12/08/14</td>
<td>Annual Review. Laparoscopic gastric plication was added to the list of investigational procedures and the policy statement on bariatric surgery in patients with BMI&lt;35 changed from investigational to not medically necessary. Policy statements added related to the repair of preoperatively-diagnosed and incidentally identified hiatal hernias. Policy 7.01.73 added to Related Policies list. Indications for hiatal hernia repair added to the Policy Guidelines. Regulatory Status information added. References 13, 16-23, 29, 37-39, 69-78, 85, 87, 89, 93, 96, 101 - 102, 104, 106-114, 116-118 added. ICD-9 and ICD-10 procedure codes removed from the policy; these are not utilized in adjudication of this policy.</td>
</tr>
<tr>
<td>04/20/15</td>
<td>Update Related Policies. Edit title to 8.01.502.</td>
</tr>
<tr>
<td>09/01/15</td>
<td>Update Related Policies. Add 7.01.150.</td>
</tr>
<tr>
<td>11/10/15</td>
<td>Annual Review. Policy updated with literature search; no change to the policy statement. Reference added.</td>
</tr>
<tr>
<td>05/01/16</td>
<td>Annual Review, approved April 12, 2016. Single anastomosis duodenoileal bypass with sleeve gastrectomy (SADI-S) added to the list of investigational procedures. Added statement that bariatric surgery is considered investigational to treat patients that do not meet morbid obesity criteria for conditions that include but are not limited to diabetes and gastroesophageal reflux disease (GERD). Removed respiratory disturbance index (RDI) and “laboratory” sleep study</td>
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<tr>
<td>(polysomnography) from sleep apnea criteria. Added related policy 2.01.503. Policy updated with a literature review; references added.</td>
<td></td>
</tr>
<tr>
<td>09/01/16</td>
<td>Interim Review, approved August 9, 2016. In the Policy Guidelines section clarified the statement that a decision for a sleep study in the home or facility setting, when indicated, is based on the criteria located in policy 2.01.503. Policy statements unchanged.</td>
</tr>
<tr>
<td>03/01/17</td>
<td>Annual Review, approved February 14, 2017. Policy moved into new format. Policy updated with literature search through November 2016. Rationale section consolidated into summary statements. Cholecystectomy as medically necessary added to policy statements, other policy statements unchanged.</td>
</tr>
<tr>
<td>01/30/18</td>
<td>Minor update, an example of an investigational gastric balloon (Orbera) was added to the policy.</td>
</tr>
<tr>
<td>01/01/19</td>
<td>Interim Review, approved December 19, 2018. Minor clarifications were added to some policy statements Policy intent not changed.</td>
</tr>
<tr>
<td>05/01/19</td>
<td>Annual Review, approved April 9, 2019. Policy updated with literature review through January 2019. Several References added. Expanded medical necessity criteria for revision and reoperation bariatric surgical procedures.</td>
</tr>
<tr>
<td>04/01/20</td>
<td>Delete policy, approved March 10, 2020. This policy will be deleted effective July 2, 2020, and replaced with InterQual criteria for dates of service on or after July 2, 2020.</td>
</tr>
<tr>
<td>06/10/20</td>
<td>Interim Review, approved June 9, 2020, effective June 10, 2020. This policy is reinstated immediately and will no longer be deleted or replaced with InterQual criteria on July 2, 2020.</td>
</tr>
<tr>
<td>05/01/21</td>
<td>Annual Review, approved April 13, 2021. Policy updated with literature review through December 17, 2020; references added. Policy criteria for physician administered weight loss program changed from six months participation to 3 months in the past 12 months; otherwise</td>
</tr>
<tr>
<td>Date</td>
<td>Comments</td>
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</tr>
<tr>
<td>08/01/21</td>
<td>Interim Review, approved July 22, 2021. Modified language used and intent of mental health evaluation requirement.</td>
</tr>
<tr>
<td>10/01/21</td>
<td>Interim Review, approved September 2, 2021. Clarified OSA as a comorbidity under patient selection of BMI of 35 kg/m² is for those whose OSA is uncontrolled by medical management (e.g., CPAP or oral appliance).</td>
</tr>
<tr>
<td>05/01/22</td>
<td>Annual Review, approved April 25, 2022. Policy updated with literature review through December 16, 2021; references added. Minor edits and formatting changes for clarity; otherwise policy statements unchanged and intent unchanged.</td>
</tr>
<tr>
<td>08/01/22</td>
<td>Interim Review, approved July 12, 2022. Clarified obstructive sleep apnea comorbidity criteria by adding definition of CPAP and oral appliance failure. Added tr ansoral outlet reduction, endoscopic (TORe) procedure and restorative obesity surgery, endoluminal (ROSE) procedure to list of endoscopic procedures that are considered investigational.</td>
</tr>
<tr>
<td>11/01/22</td>
<td>Interim Review, approved October 10, 2022. Minor edits and formatting changes for greater clarity only. Policy statements unchanged. Policy intent unchanged. Changed the wording from &quot;patient&quot; to &quot;individual&quot; throughout the policy for standardization.</td>
</tr>
<tr>
<td>11/18/22</td>
<td>Minor update. Added the term BIPAP where CPAP is noted in the policy criteria statements.</td>
</tr>
<tr>
<td>01/01/23</td>
<td>Coding update. Removed CPT codes 0312T, 0313T, 0314T, 0315T, 0316T, and 0317T from the policy statement section as the codes are termed effective 01/01/23. Added term date to CPT codes 0312T, 0313T, 0314T, 0315T, 0316T, and 0317T in the coding section. Added new CPT codes 43290 and 43291. Added unlisted code details to the Non-covered bariatric (weight loss) surgery procedures section. Added unlisted CPT codes 43659, 43999, 44238, and 44799 to the coding table.</td>
</tr>
<tr>
<td>05/01/23</td>
<td>Annual Review, approved April 11, 2023. Policy updated with literature review through January 3, 2023; references added. Added, medically necessary policy statement for individuals who are T2 diabetic and have class I obesity. Additional minor editorial refinements made to policy statements with intent unchanged. Several guidelines updated and added.</td>
</tr>
<tr>
<td>07/01/23</td>
<td>Coding update. Added new HCPCS codes C9784 and C9785.</td>
</tr>
<tr>
<td>08/01/23</td>
<td>Interim Review, approved July 11, 2023. Added medically necessary indication of treatment of symptomatic gastroesophageal reflux disease (GERD) under revision surgery when criteria are met. Added examples of intragastric balloons (Spatz3, TransPyloric Shuttle) considered investigational; other minor edits made for clarity; policy intent unchanged.</td>
</tr>
</tbody>
</table>

**Disclaimer:** This medical policy is a guide in evaluating the medical necessity of a particular service or treatment. The Company adopts policies after careful review of published peer-reviewed scientific literature, national guidelines and local standards of practice. Since medical technology is constantly changing, the Company reserves the right to review
and update policies as appropriate. Member contracts differ in their benefits. Always consult the member benefit booklet or contact a member service representative to determine coverage for a specific medical service or supply. CPT codes, descriptions and materials are copyrighted by the American Medical Association (AMA). ©2023 Premera All Rights Reserved.

**Scope:** Medical policies are systematically developed guidelines that serve as a resource for Company staff when determining coverage for specific medical procedures, drugs or devices. Coverage for medical services is subject to the limits and conditions of the member benefit plan. Members and their providers should consult the member benefit booklet or contact a customer service representative to determine whether there are any benefit limitations applicable to this service or supply. This medical policy does not apply to Medicare Advantage.
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Premera Blue Cross (Premera) complies with applicable Federal and Washington state civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability, sex, gender identity, or sexual orientation. Premera does not exclude people or treat them differently because of race, color, national origin, age, disability, sex, gender identity, or sexual orientation. Premera provides free aids and services to people with disabilities to communicate effectively with us, such as qualified sign language interpreters and written information in other formats (large print, audio, accessible electronic formats, other formats). Premera provides free language services to people whose primary language is not English, such as qualified interpreters and information written in other languages. If you need these services, contact the Civil Rights Coordinator. If you believe that Premera has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability, sex, gender identity, or sexual orientation, you can file a grievance with: Civil Rights Coordinator — Complaints and Appeals, PO Box 91102, Seattle, WA 98111, Toll free: 855-332-4535, Fax: 425-918-5592, TTY: 711, Email AppealsDepartmentInquiries@Premera.com. You can file a grievance in person or by mail, fax, or email. If you need help filing a grievance, the Civil Rights Coordinator is available to help you. You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights, electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal.hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at: U.S. Department of Health and Human Services, 200 Independence Ave SW, Room 509F, HHH Building, Washington, D.C. 20201, 1-800-368-1019, 800-537-7697 (TDD). Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html.


Alaska residents: Contact the Alaska Division of Insurance via email at insurance@alaska.gov, or by phone at 907-269-7900 or 1-800-INSURAK (in-state, outside Anchorage).

Language Assistance

ATENCIÓN: si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 800-722-1471 (TTY: 711).


Notes: We offer these services in person, by mail, fax or email. You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights (OCR), electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal.hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at: U.S. Department of Health and Human Services, 200 Independence Ave SW, Room 509F, HHH Building, Washington, D.C. 20201, 1-800-368-1019, 800-537-7697 (TDD). Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html.


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ATENCIÓN: si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 800-722-1471 (TTY: 711).


Notes: We offer these services in person, by mail, fax or email. You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights (OCR), electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal.hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at: U.S. Department of Health and Human Services, 200 Independence Ave SW, Room 509F, HHH Building, Washington, D.C. 20201, 1-800-368-1019, 800-537-7697 (TDD). Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html.


Alaska residents: Contact the Alaska Division of Insurance via email at insurance@alaska.gov, or by phone at 907-269-7900 or 1-800-INSURAK (in-state, outside Anchorage).